




**TITLE OF THE TENDER:**                    **“PROCUREMENT, INSTALLATION AND COMMISSIONING OF THE SIGNALING & AUTOMATIC TRAIN CONTROL (ATC) SYSTEM AND OF THE AUTOMATIC TRAIN SUPERVISION SYSTEM (ATS) IN THE THESSALONIKI METRO EXTENSION TO KALAMARIA”**  
**RFP-335/18 A.Σ. 59046**

**FUNDING:**                                    The Supply is co-funded by the European Regional Development Fund through the OP – Transport Infrastructure, Environment and Sustainable Development in the framework of the National Strategic Reference Framework (NSRF) 2014-2020


**ESTIMATED BUDGET:**                    22,000,000.00 EURO (excluding VAT)

**INVITATION TO TENDER**

	<p align="center"><b>PROJECT: “PROCUREMENT, INSTALLATION AND COMMISSIONING OF THE SIGNALLING &amp; AUTOMATIC TRAIN CONTROL (ATC) SYSTEM AND OF THE AUTOMATIC TRAIN SUPERVISION (ATS) SYSTEM IN THE THESSALONIKI METRO EXTENSION TO KALAMARIA”</b></p> <p align="center"><b>INVITATION TO TENDER</b></p>	<p align="center"><b>RFP-335/18</b></p> <p align="center"><b>A.Σ. 59046</b></p>
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## TABLE OF CONTENTS


Article 1	Introduction - Awarding Authority Information
Article 2	Scope – Code CPV
Article 3	Title of the Tender
Article 4	Procedure for the Selection of the Contractor – Criterion for the Award of the Contract
Article 5	Deadlines for the Delivery of the Supply
Article 6	Estimated Budget - Funding - Advance Payment
Article 7	Eligible to Participate
Article 8	Participation Letter of Guarantee - Good Performance and Good Operation Letters of Guarantee
Article 9	Provision of Tender Documents - Clarifications
Article 10	Deadlines for the Submission of Offers and Electronic Unsealing
Article 11	Manner of Preparation and Contents of the Offer – Validity period of the Offer
Article 12	Reasons for Disqualifying the Economic Operator
Article 13	Selection Criteria – Minimum Requirements
Article 14	“Participation Supporting Documents – Technical Offer” Sub-Folder
Article 15	Technical Offer
Article 16	“Financial Offer” Sub-Folder Content
Article 17	Tender Phases - Offer Evaluation Procedure
Article 18	Evaluation of Technical Offers
Article 19	Invocation of Third Party Resources
Article 20	Annulment of the Procedure
Article 21	Back-up Documentation for the Award
Article 22	Execution of the Contract
Article 23	Language for the Conduct of the Tender - Language of the Contract
Article 24	Applicable Legislation

	<p><b>PROJECT: “PROCUREMENT, INSTALLATION AND COMMISSIONING OF THE SIGNALLING &amp; AUTOMATIC TRAIN CONTROL (ATC) SYSTEM AND OF THE AUTOMATIC TRAIN SUPERVISION (ATS) SYSTEM IN THE THESSALONIKI METRO EXTENSION TO KALAMARIA”</b></p> <p><b>INVITATION TO TENDER</b></p>	<p><b>RFP-335/18</b></p> <p><b>A.Σ. 59046</b></p>
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Article 25      Publicity

Attachments:

1. **SAMPLE A1:** Participation Letter of Guarantee in Greek
2. **SAMPLE A2:** Participation Letter of Guarantee in English

	<p align="center"><b>PROJECT: “PROCUREMENT, INSTALLATION AND COMMISSIONING OF THE SIGNALLING &amp; AUTOMATIC TRAIN CONTROL (ATC) SYSTEM AND OF THE AUTOMATIC TRAIN SUPERVISION (ATS) SYSTEM IN THE THESSALONIKI METRO EXTENSION TO KALAMARIA”</b></p> <p align="center"><b>INVITATION TO TENDER</b></p>	<p align="center"><b>RFP-335/18</b></p> <p align="center"><b>A.Σ. 59046</b></p>
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## ARTICLE 1 INTRODUCTION – AWARDING AUTHORITY INFORMATION


- 1.1** ATTIKO METRO A.E. (henceforth called AM) was established via Article First of Law 1955/91. AM purpose, which is determined via Article 2 of Article Second of Law 1955/91, as this is amended through article 35 of L. 3202/03 (and articles 121 and 145 of Law 4070/12 is the design, construction, running, operation and development of the Urban Railway Network of Attica and Thessaloniki Region and, in general, of the Electric Railway of Attica and Thessaloniki Region (with the exception of OSE Railway Network) as well as of the TRAMWAY network in whole Greece.

AM announces an international electronic Tender based on the open procedure and invites all interested economic operators to submit offers, in accordance with the stipulations of this Invitation to Tender and its associated documents for the appointment of a Contractor responsible for the supply, installation and commissioning of the Signaling and Automatic Train Control (ATC) System and of the Automatic Train Supervision (ATS) System in the Thessaloniki Metro Extension to Kalamaria.

- 1.2** The Awarding Authority, Owner of the Supply and Employer for the Contract to be concluded is ATTIKO METRO S.A. (AM). Details about the Agency and the NUTS codes are included in the table here-below, namely

Title	ATTIKO METRO S.A.
Postal Address	191-193 Messogion Avenue,
City	Athens
Postal Code	11525
Contact Person	Aikaterini Saiti
Tel. No.	210 - 6792473
Fax No.	210 – 6726126
Geographical area of the Agency (NUTS)	EL 30
Geographical area for the execution of the Contract	EL 522

The Contract for the execution of the Supply shall be concluded between AM and the Bid

	<p align="center"><b>PROJECT: “PROCUREMENT, INSTALLATION AND COMMISSIONING OF THE SIGNALLING &amp; AUTOMATIC TRAIN CONTROL (ATC) SYSTEM AND OF THE AUTOMATIC TRAIN SUPERVISION (ATS) SYSTEM IN THE THESSALONIKI METRO EXTENSION TO KALAMARIA”</b></p> <p align="center"><b>INVITATION TO TENDER</b></p>	<p align="center"><b>RFP-335/18</b></p> <p align="center"><b>A.Σ. 59046</b></p>
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- 1.3** The Contract for the execution of the Supply shall be concluded between AM and the Bidder to be appointed as Contractor from the relevant Tender.

## **ARTICLE 2 SCOPE – CODE CPV**

- 2.1** The scope of the Contract to be signed shall include the design, supply, installation, testing and commissioning of the Signaling and Automatic Train Control System (ATC) and of the Automatic Train Supervision (ATS) System in the Thessaloniki Metro Extension to Kalamaria, i.e. from Patrikiou crossing (25 Martiou Street) up to the forestation and terminal shaft of Mikra Station, as well as the installation of Signaling Systems in all fifteen (15) new train-sets foreseen for the Extension to Kalamaria. The scope of the contract also includes any modification, upgrading or supplementary works to be required to be effected in the Signaling and Automatic Train Control System (ATC) and in the Automatic Train Supervision (ATS) System of the Base Project, as well as any modifications/additions, involving additional equipment and software, to the Signalling System and the Train Control System on the 18 trains of the Base Project.


More specifically, the scope of the contract is included in the Technical Description and in the two documents of the Design, Performance, Material and Workmanship Specifications that accompany the Invitation to Tender.

- 2.2** The CPV Codes for the Contract are: 34942000-2 “Signalling equipment” and 45234115-5 “Railway signalling works”.

## **ARTICLE 3 TITLE OF THE TENDER**

The title of the Tender is: **“Procurement, Installation and commissioning of the Signalling & Automatic Train Control (ATC) System and of the Automatic Train Supervision (ATS) System in the Thessaloniki Metro Extension to Kalamaria”**. This title, accompanied by the reference code **RFP-335/18**, shall be shown on all Folders and Sub-Folders of the Offers and, in general, on all documents to be submitted within the framework of this Tender.

## **ARTICLE 4 PROCEDURE FOR THE SELECTION OF THE CONTRACTOR – CRITERION FOR THE AWARD OF THE CONTRACT**

	<p align="center"><b>PROJECT: “PROCUREMENT, INSTALLATION AND COMMISSIONING OF THE SIGNALLING &amp; AUTOMATIC TRAIN CONTROL (ATC) SYSTEM AND OF THE AUTOMATIC TRAIN SUPERVISION (ATS) SYSTEM IN THE THESSALONIKI METRO EXTENSION TO KALAMARIA”</b></p> <p align="center"><b>INVITATION TO TENDER</b></p>	<p align="center"><b>RFP-335/18</b></p> <p align="center"><b>A.Σ. 59046</b></p>
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- 4.1** The Tender shall be conducted electronically through the web portal [www.promitheus.gov.gr](http://www.promitheus.gov.gr) of E.S.I.D.I.S. - National Electronic Public Procurement System, it shall be based on the open procedure in accordance with article 264 of Law 4412/16.

All documents related to the Tender are available in the web portal of E.S.I.D.I.S ([www.promitheus.gov.gr](http://www.promitheus.gov.gr)) in AM's web page ([www.ametro.gr](http://www.ametro.gr)).


The Bidders shall submit their offers, in line with the provisions of the Tender Documents.

- 4.2** The Tender shall be conducted as per Law 4412/16 (FEK A' 147/08.08.2016) and the stipulations of Directive 2014/25/EU “about the procurement by entities operating in the water, energy, transport and postal services sectors (E.U. L 94/243/28.03.2014).
- 4.3** **The criterion for the Contract award shall be the most advantageous offer, in financial terms, on the basis of the price offered, in accordance with article 311, Law 4412/16.**

#### **ARTICLE 5 DEADLINES FOR THE DELIVERY OF THE SUPPLY**

The Contractor is obliged to adhere to the contractual time periods for the delivery of the Signalling systems pertaining to the Thessaloniki Metro Extension to Kalamaria, which (time periods) are calculated in calendar days as of the Contract signing, and are set as follows, namely:

<b>Signalling System of the Thessaloniki Metro Extension to Kalamaria</b>	<b>Contractual Delivery Time (calendar days)</b>
Completion of the design, procurement, installation, testing and commissioning of the Signalling and Automatic Train Control (ATC) System and of the Automatic Train Supervision (ATS) System in the Thessaloniki Metro Extension to Kalamaria, including the following: <ul style="list-style-type: none"> <li>• Required connection with the OCC and</li> </ul>	<b>650</b>

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<p>the Emergency Control Room (ECR);</p> <ul style="list-style-type: none"> <li>• The interconnections with the systems involved;</li> <li>• The modification and upgrading of the base project</li> </ul> <p>The modifications – additions to be made to the signaling system on the 18 train-sets of the base project, within</p>	
<p>Completion of design, procurement, installation, testing and commissioning of the Signaling system on the 15 new train-sets, within</p>	<p align="center"><b>1000</b></p>

More detailed data about the time schedule and the relevant obligations of the Contractor are quoted in articles 8 and 9 of the Conditions of Contract.

## **ARTICLE 6 ESTIMATED BUDGET - FUNDING - ADVANCE PAYMENT**

**6.1** The Contract Scope overall estimated Budget amounts to **EURO twenty two million (22,000,000.00 €) EURO (VAT not included).**

The Bidder shall prepare and submit his Financial Offer, based on the aforementioned amount. **The estimated budget is binding and must not be exceeded by bidders.**


**6.2** No price re-adjustment is foreseen for the Contract validity period.

**6.3** It is clarified that VAT shall be borne by the Project Owner.

**6.4** Provision is made for an **interest-bearing Advance Payment** to the Contractor equal to twenty percent (20%) on the Financial Offer. This advance payment shall be optional. The said advance payment shall be provided upon the Contractor's request, as foreseen in detail in article 10.1 of the CC.

**6.5** The Advance Payment shall be amortized, in line with article 10.1 of the Conditions of Contract.

**6.6** Upon granting the Advance Payment to the Contractor, payments of the Contractual Price shall be effected, in accordance with the

	<p align="center"><b>PROJECT: “PROCUREMENT, INSTALLATION AND COMMISSIONING OF THE SIGNALLING &amp; AUTOMATIC TRAIN CONTROL (ATC) SYSTEM AND OF THE AUTOMATIC TRAIN SUPERVISION (ATS) SYSTEM IN THE THESSALONIKI METRO EXTENSION TO KALAMARIA”</b></p> <p align="center"><b>INVITATION TO TENDER</b></p>	<p align="center"><b>RFP-335/18</b></p> <p align="center"><b>A.Σ. 59046</b></p>
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provisions of article 10, paragraph 2 of the document entitled “Conditions of Contract”.

- 6.7** The Contractor shall bear all taxes, duties, retention, etc., as per the Greek Legislation and article 10 of the CC.
- 6.8** The Project shall be co-funded by the European Regional Development Fund through the OP – Transport Infrastructure, Environment and Sustainable Development in the framework of the National Strategic Reference Framework (NSRF) 2014-2020.

#### **ARTICLE 7 ELIGIBLE TO PARTICIPATE**


- 7.1** Acceptable to the Tender shall be individual economic operators – either physical or legal entities - or associations thereof that are established:

- a) in a member – state of the European Union;
- b) in a member – state of the European Financial Area (EFA), or
- c) in third countries that have signed and ratified the Public Procurement Agreement (PPA), to the extent that the contract under award is covered by Annexes 1, 2, 4 and 5 and the general notes of Appendix I of the aforesaid PPA, or
- d) in third countries that do not fall under items referred to above and have concluded a bilateral or multilateral agreement with the European Union on issues pertaining to the procedures for the award of public contracts;

AND

The economic operators must prove that they fulfil the terms of this Invitation.

- 7.2** Each Economic Operator shall be eligible to participate in the Tender either individually or as a member of only one association. **In any other case, all associations, in which the common member participated, shall be disqualified from the tendering procedure.**

	<p align="center"><b>PROJECT: “PROCUREMENT, INSTALLATION AND COMMISSIONING OF THE SIGNALLING &amp; AUTOMATIC TRAIN CONTROL (ATC) SYSTEM AND OF THE AUTOMATIC TRAIN SUPERVISION (ATS) SYSTEM IN THE THESSALONIKI METRO EXTENSION TO KALAMARIA”</b></p> <p align="center"><b>INVITATION TO TENDER</b></p>	<p align="center"><b>RFP-335/18</b></p> <p align="center"><b>A.Σ. 59046</b></p>
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In order to participate in the tender in the form of associations, the Economic Operators shall not be obliged to be vested with a specific legal form. However, the Association to be selected, shall be obliged, if the contract is assigned to it, to submit to AM a notary act about the establishment of the Joint Venture or the Consortium.


In any case, the legalizing documents related to the establishment should prove that each member of the Association is jointly and indivisibly liable before AM for the execution of the contract,

### 7.3

In order to participate in the tender, the economic operators interested in the subject tender shall possess a digital signature granted by an accredited authority providing digital signatures and shall be registered in the electronic system (E.S.I.D.I.S. - National Electronic Public Procurement System – web portal [www.promitheus.gov.gr](http://www.promitheus.gov.gr)), adhering to the registration procedure detailed herebelow, in line with Decision No. 56902/215/02.06.2017 issued by the Hellenic Ministry of Economy and Development.

Visiting the website E.S.I.D.I.S., the economic operators request their registration (they should provide all necessary information and they should accept all terms of use), providing the following identification details:

- Those economic operators having a Greek Tax Payer’s Number (AFM) shall identify and authenticate themselves to the System using their credentials (Username and password) already given to them by the TAXISNET of the General Secretariat of Information System. Upon identification, the registration of the user shall be approved and the responsible Department of Development and Technical Support of E.S.I.D.I.S. provides him with the necessary credentials and rights of accessing and using the System.
- Those economic operators – users belonging to the member states of the European Union, not having a Greek Tax Payer’s Number (AFM) shall request for their registration:
  - a) either by filling in the VAT Identification Number and shall identify and authenticate themselves to the System using the credentials they have by the respective System;
  - b) or based on their compliance with the system Secureiden TityacrOssboRderslinKed (STORK).

	<p><b>PROJECT: “PROCUREMENT, INSTALLATION AND COMMISSIONING OF THE SIGNALLING &amp; AUTOMATIC TRAIN CONTROL (ATC) SYSTEM AND OF THE AUTOMATIC TRAIN SUPERVISION (ATS) SYSTEM IN THE THESSALONIKI METRO EXTENSION TO KALAMARIA”</b></p> <p><b>INVITATION TO TENDER</b></p>	<p><b>RFP-335/18</b></p> <p><b>A.Σ. 59046</b></p>
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Upon identification, the responsible Department of Development and Technical Support of E.S.I.D.I.S. provides them with the required credentials and rights of access and use of the System.


- Those economic operators not covered by the previous cases shall request for their registration and shall identify and authenticate themselves via the responsible Department of Development and Technical Support of E.S.I.D.I.S. , by transmitting:
  - Either a legal statement – bearing a digital signature – accompanied by an official translation into Greek;
  - Or a statement under oath or a certificate in PDF format accompanied by an official translation into Greek, and in line with the terms prevailing in the member-state where the economic operator is seated; the statement under oath or the certificate shall state / prove the registration of the economic operator in a Professional or Trade Registry and shall be submitted to the Service concerned in a printed form (original or true copy) within three (3) working days.

The request for registration shall be submitted electronically by all prospective users using the System’s web portal, as referred to above. The prospective user shall be informed about the status of his/her registration request by the System or by e-mail. Should the request for registration be approved, then the prospective user receives a link to activate the account as an authenticated user and proceeds to the activation of his/her account.

**7.4 Through his/her participation in the Tender, bidders acknowledge that they have been fully informed about the terms of this Invitation and the remaining information and that they accept same with no reservation whatsoever.**

## **ARTICLE 8 PARTICIPATION LETTER OF GUARANTEE – GOOD PERFORMANCE AND GOOD OPERATION LETTERS OF GUARANTEE**

**8.1** For participating in the Tender, along with their Offer, the Bidders shall submit a Participation Letter of Guarantee against an amount of

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**440,000.00 EURO.** The amount of the Letter of Guarantee corresponds to two percent (2%) of the estimated budget of the scope of the Supply, VAT excluded.


This Letter of Guarantee shall be valid for a time period of **three hundred and ninety (390) days** from the expiry of the deadline for the submission of the offers.

- 8.2** If the Offer is submitted by a suppliers association, the Participation Letters of Guarantee must be common in favour of all members. In this case, more than one Letters of Guarantee can be issued, which shall cumulatively cover the total amount of the Guarantee, provided that each of these Letters of Guarantee is issued in favour of all the members of the suppliers association and not in favour of separate individual members.
- 8.3** The Participation Letter of Guarantee is issued to the benefit of AM, at the sole expense of the Bidder, in accordance with the requirements of article 302 of Law 4412/16. The letters of guarantee shall be issued by credit or funding institutions or Insurance Companies in the sense of cases b' and c' of paragraph 1, article 14 of Law 4364/2016, lawfully operating in the member-states of the European Union, or the European Financial Area (EFA) or in the member-states that have signed the Government Procurement Agreement and have this specific right, in line with the applicable provisions. In addition, they can be issued by TMEDE or be provided by depositing a trust of an equal amount or bonds of equal value to the Trusts and Loans Fund. The letters of guarantee shall be compiled in accordance with Sample A1 in Greek attached herein.

In case the Letter of Guarantee cannot be issued in Greek because the issuing Bank does not operate in Greece, the Letter of Guarantee shall be issued in English, as per Sample A2 of this Invitation.

The said guarantee shall be collectable and payable in Greece, it shall be subject to the exclusive jurisdiction of the competent Greek Courts of Athens and shall be governed by the Greek Law.

In case the aforementioned Letter of Guarantee for Participation does not comply with the above, then the Tender Committee shall call upon the Bidder to submit a Letter of Guarantee in line with the Sample, within a deadline to be determined by the Committee.

	<p><b>PROJECT: “PROCUREMENT, INSTALLATION AND COMMISSIONING OF THE SIGNALLING &amp; AUTOMATIC TRAIN CONTROL (ATC) SYSTEM AND OF THE AUTOMATIC TRAIN SUPERVISION (ATS) SYSTEM IN THE THESSALONIKI METRO EXTENSION TO KALAMARIA”</b></p> <p><b>INVITATION TO TENDER</b></p>	<p><b>RFP-335/18</b></p> <p><b>A.Σ. 59046</b></p>
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**Bidders not complying with the aforesaid invitation of the Committee shall be disqualified from the Tender.**

**8.4** It is stressed that AM shall check the validity of the Letter of Guarantee by transmitting a pertinent letter to the Credit Institutions, for this organization to verify in writing the validity of the subject LoG. In case the aforementioned checking shows that an invalid, false or forged Letter of Guarantee for Participation in the Tender has been submitted, then the Bidder shall be disqualified from the Tender, and a criminal complaint shall be filed to the District Attorney.

**8.5** Offers not accompanied by Letters of Guarantee for Participation in the Tender, as specified above, shall not be accepted. Bidders not complying with the above shall be **disqualified** from the Tender.

**8.6** The Participation Letter of Guarantee shall be returned interest-free to the nominated Contractor upon the Contract signing and upon the submittal by the nominated Contractor of the required Good Performance Letter of Guarantee. Moreover, the Participation Letter of Guarantee shall be returned to the remaining Bidders, at their written request,


**(a)** if the deadline for filing an appeal elapses idle or further to the issuance of a resolution on an appeal filed against the awarding resolution, and

**(b)** if the deadline for filing legal remedies for a provisional judicial protection elapses idle or further to the issuance of a resolution on them, in accordance with the applicable provisions, and

**(c)** upon completion of the pre-contractual auditing by the Court of Auditors, if required.

As far as the previous awarding stages are concerned, the Participation Letter of Guarantee shall be returned to the participants free of interest in case their offer is rejected and on condition that no legal means have been filed or in case the deadline for filing any legal means elapses idle or in case of their waiver.

**8.7** The Letter of Guarantee for Participation **becomes payable** if the nominated Contractor provides false data and information or, even though invited, does not show up to sign the Contract within the deadline set by AM or if he does not submit the required Good

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Performance Guarantees and/or documents or if he does not adhere to the stipulations of articles 21 and 22 of this Invitation.

#### **8.8 Good Performance Letter of Guarantee**

Upon the Contract signing, the Contractor shall submit a Good Performance Letter of Guarantee **rising to 5% on the overall contract price, VAT excluded**, in accordance with article 302 of Law 4412/16.

#### **8.9 Good Operation Letter of Guarantee**


The Contractor is responsible for the good operation of the scope of the supply, in line with article 17 of the Conditions of Contract. The Good Operation Letter of Guarantee, which shall cover the requirements of the warranted period, must be submitted upon final acceptance. The subject letter of guarantee rises to **10% on the offered amount of the Contractor’s Financial Offer**, according to article 10.4 of the Conditions of Contract.

### **ARTICLE 9 PROVISION OF TENDER DOCUMENTS - CLARIFICATIONS**

**9.1** The Tender Documents are posted in E.S.ID.I.S web portal [www.promitheus.gov.gr](http://www.promitheus.gov.gr) and shall be as follows:

- a) European Single Procurement Document
- b) The present Invitation to Tender
- c) The Financial Offer Form
- d) The Conditions of Contract
- e) The Technical Description
- f) The Design, Performance, Materials & Workmanship Specification for the Signalling and Automatic Train Control (ATC) System
- g) The Design, Performance, Materials & Workmanship Specification for the Automatic Train Supervision (ATS) System.

In addition, the Tender documents are posted in ATTIKO METRO (AM)’s web page [www.ametro.gr](http://www.ametro.gr).

	<p><b>PROJECT: “PROCUREMENT, INSTALLATION AND COMMISSIONING OF THE SIGNALLING &amp; AUTOMATIC TRAIN CONTROL (ATC) SYSTEM AND OF THE AUTOMATIC TRAIN SUPERVISION (ATS) SYSTEM IN THE THESSALONIKI METRO EXTENSION TO KALAMARIA”</b></p> <p><b>INVITATION TO TENDER</b></p>	<p><b>RFP-335/18</b></p> <p><b>A.Σ. 59046</b></p>
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- 9.2** Any **questions** and clarifications related to the documents of the Tender can be expressed by the bidders electronically in E.S.ID.I.S web portal [www.promitheus.gov.gr](http://www.promitheus.gov.gr) by **05/07/2018 at 11:00**.

It is stressed that in order to submit requests for supplementary information – clarifications, the economic operators must be registered in the system, i.e. they must have the necessary credentials (Username and password) already given to them. The electronic file containing the list of the questions must bear a digital signature.


AM shall collect all questions through the website and will issue a **Clarifications Document** which shall be posted in E.S.ID.I.S web portal by **19/07/2018** at the latest **at 11:00**. Please note that answers will be provided only to questions that have been submitted electronically.

It is stressed that any information, views, etc. that might be provided or expressed by any member, executive or employee of AM shall not be binding.

## **ARTICLE 10 DEADLINES FOR THE SUBMISSION OF OFFERS AND ELECTRONIC UNSEALING**

- 10.1** The deadline for the submission of the offers expires on **Wednesday 25/07/2018 at 11.00 hours**. Overdue submission of the offers shall entail the disqualification of the Bidder, even if the delayed submission is due to force majeure.
- 10.2** **Electronic unsealing** of the Sub-Folder “Participation Back-Up Documentation – Technical Offer” shall be effected on **31/07/2018 at 11:00 hours**.
- 10.3** **Electronic unsealing** of the Sub-Folder “Financial Offer” shall be effected on the date and at the time to be set by AM.

## **ARTICLE 11 MANNER OF PREPARATION AND CONTENT OF THE OFFER – VALIDITY PERIOD OF THE OFFER**

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**11.1** As regards the method of submittal and the contents of the Folder, at the penalty of disqualification, bidders shall submit their offers in line with the stipulations of this Invitation.

**11.2** At the penalty of disqualification bidders shall **submit** their offers together with their accompanying documents in Greek in pdf format at the web portal [www.promitheus.gov.gr](http://www.promitheus.gov.gr) of E.S.I.D.I.S by the deadline and time stipulated in article 10.1 herein, and in an electronic file (folder), in line with the provisions Decision No. 56902/215/02.06.17 of the Ministry of Economy and Development.

The time for the offers submission and any electronic communication via the System shall be automatically confirmed by the system through time stamping.

**11.3** The **content** of the electronic file of the offer shall be set as follows:

- (a) One (Sub)folder\* bearing the indication “Back-Up Documentation for Participation – Technical Offer”; (it shall contain information required in line with articles 14 and 15 of this Invitation). **At the penalty of disqualification**, information and back-up documentation of the subject Sub-Folder shall be submitted by bidders in pdf format. Those back up documents and data issued/prepared by the economic operator himself (Bidder) must bear his digital signature,


And

- (b) One (Sub)folder\* bearing the indication “Financial Offer”; (it shall contain the information duly filled in, according to article 16 of this Invitation).

\* (Sub)folder: category of attached files in the system.

Upon the offer submission, by using the pertinent field of the system, Bidders shall indicate those data of their offer that are confidential.

**11.4** At the penalty of disqualification and within **three (3) working days** upon electronic submission of the aforesaid information data and back up documentation, Bidders shall submit to AM (**submission to AM’s Document Control Centre by 15:00**), in printed form and in a sealed envelope the information data contained in the electronic offer, which (data) must be submitted in originals, in line with Law 4250/2014. In

	<p><b>PROJECT: “PROCUREMENT, INSTALLATION AND COMMISSIONING OF THE SIGNALLING &amp; AUTOMATIC TRAIN CONTROL (ATC) SYSTEM AND OF THE AUTOMATIC TRAIN SUPERVISION (ATS) SYSTEM IN THE THESSALONIKI METRO EXTENSION TO KALAMARIA”</b></p> <p><b>INVITATION TO TENDER</b></p>	<p><b>RFP-335/18</b></p> <p><b>A.Σ. 59046</b></p>
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general, the subject information data and back up documentation are for example the Participation letter of guarantee, the original documents which have been issued by private entities and are not ratified by Lawyers, as well as documentation bearing the Hague stamp (Apostile).

Information data and back up documentation bearing an approved advanced digital signature or an advanced digital signature supported by approved certificates, according to paragraph 3 article 8 of Decision No. 56902/215/02.06.17, FEKs, technical leaflets and all information data and back up documentation, photocopies of which, the agencies are obliged to accept, as per Law 4250/2014, shall not be submitted in printed form.

The aforementioned supporting documents and data are deposited to the Document Control Centre (DCC) of AM in line with the above and shall be accompanied by a document drafted by the bidder listing the relevant supporting documents. This sealed envelope shall bear the indication **“Individual Participation Supporting Documents in Printed Form – Technical Offer”** and shall necessarily bear the following label and be accompanied **by the cover letter outside the envelope:**

**INDIVIDUAL PARTICIPATION SUPPORTING DOCUMENTS  
IN PRINTED FORM – TECHNICAL OFFER**

Title of the Bidder

**FOR THE TENDER:**


**“PROCUREMENT, INSTALLATION AND COMMISSIONING OF THE  
SIGNALLING & AUTOMATIC TRAIN CONTROL (ATC) SYSTEM AND OF  
THE AUTOMATIC TRAIN SUPERVISION (ATS) SYSTEM IN THE  
THESSALONIKI METRO EXTENSION TO KALAMARIA”**

**(Reference Code RFP-335/18)**

To: ATTIKO METRO A.E.  
191-193 Messogion Av.  
115 25 - Athens

**Attention: TENDER COMMITTEE**

**###NOT TO BE OPENED BY DOCUMENT CONTROL OFFICE###**

	<p><b>PROJECT: “PROCUREMENT, INSTALLATION AND COMMISSIONING OF THE SIGNALLING &amp; AUTOMATIC TRAIN CONTROL (ATC) SYSTEM AND OF THE AUTOMATIC TRAIN SUPERVISION (ATS) SYSTEM IN THE THESSALONIKI METRO EXTENSION TO KALAMARIA”</b></p> <p><b>INVITATION TO TENDER</b></p>	<p><b>RFP-335/18</b></p> <p><b>A.Σ. 59046</b></p>
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**11.5** The offers shall be submitted in Greek, which shall be the official language of the Contract, as per article 23 herein.

**11.6** Offers shall be valid and shall bind the bidders for **three hundred and sixty (360) days** upon the day that follows the deadline for the submission of the offers.

The validity of the offer can be extended further to AM’s pertinent request and on condition that the bidder provides its consent for the time period deemed necessary for the completion of the tendering process. Any offers including an expiry date earlier than the aforementioned one, shall be rejected as unacceptable.


**11.7 At the penalty of disqualification, the submission of partial or alternative offers is not allowed.**

## **ARTICLE 12 REASONS FOR DISQUALIFYING THE ECONOMIC OPERATOR**


**12.1** Each Bidder / Economic Operator **is disqualified** from the procedure related to the conclusion of the contract, if it is proven or if it is known to the awarding authority – in any other way – that there is an irrevocable decision against the bidder / economic operator for each of the reasons stipulated in paragraphs 12.1.1. up to 12.1.6.

The economic operator shall be also disqualified from the tender procedure when the person convicted by a final judgment is a member of the administrative, management or supervisory body of that economic operator or has powers of representation, decision or control therein.

- In the case of Limited Liability Companies (Ltds) Societe Anonymes (SAs), General Partnership (GP) and Limited Partnership (LP) Companies, and Private Capital Companies, the obligation set out in the previous clause applies as a minimum to the Administrators.
- In cases of Societe Anonymes (SAs), the obligation set out in the previous clause applies as a minimum to the Managing Director and all the members of the Board of Directors.
- In the cases of partnerships, the obligation set out in the previous clause applies to the members of the BoD.


	<p><b>PROJECT: “PROCUREMENT, INSTALLATION AND COMMISSIONING OF THE SIGNALLING &amp; AUTOMATIC TRAIN CONTROL (ATC) SYSTEM AND OF THE AUTOMATIC TRAIN SUPERVISION (ATS) SYSTEM IN THE THESSALONIKI METRO EXTENSION TO KALAMARIA”</b></p> <p><b>INVITATION TO TENDER</b></p>	<p><b>RFP-335/18</b></p> <p><b>A.Σ. 59046</b></p>
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- 12.1.1 Participation in a criminal organization, as this is defined in article 2 of the Council Framework Decision 2008/841/JHA dated October 24<sup>th</sup> 2008, on the fight against organized crime (EE L 300 dated 11.11.2008, page 42).
- 12.1.2 Bribery, as this is defined in article 3 of the Convention on the fight against corruption involving officials of the European Communities or officials of Member States of the European Union (EE C 195 dated 25.06.1997 page 1) and in paragraph 1, article 2 the Council Framework Decision 2003/568/JHA dated July 22<sup>nd</sup> 2003 on combating corruption in the private sector (EE L 192 dated 31.07.2003, page 54), and as stipulated in the applicable legislation or in the national law of the economic operator.
- 12.1.3 Fraud, in the sense of article 1 of the Convention on the protection of the European Communities’ financial interests (EEC 316 dated 27.11.1995, page 48), which was ratified by law 2803/2000 (A’ 48).
- 12.1.4 Terrorist offences or offences linked with terrorist activities, as respectively defined in articles 1 and 3 of the Council Framework Decision 2002/475/JHA dated June 13<sup>th</sup> 2002, on combating terrorism (EE L 164 dated 22.06.2002, page 3), or inciting or aiding or abetting an offence, as defined in article 4 thereof.
- 12.1.5 Money laundering or terrorist financing, as defined in article 1, Directive 2005/60/EC of the European Parliament and European Council dated October 26<sup>th</sup> 2005, on the prevention of the use of the financial system for the purpose of money laundering and terrorist financing (EE L 309 dated 25.11.2005, page 15), which has been integrated into national legislation via Law 3691/2008 (A’166).
- 12.1.6 Child labour and trafficking in human beings, as defined in article 2, Directive 2011/36/EU of the European Parliament and European Council dated April 5<sup>th</sup> 2011, on preventing and combating trafficking in human beings and protecting its victims, and replacing Council Framework Decision 2002/629/JHA of the European Council (EE L 101 dated 15.04.2011, page 1), which has been integrated into national legislation via Law 4198/2013 (A’215).
- 12.2 Each Bidder / Economic Operator is disqualified from any participation in the contract conclusion procedure:**
- 12.2.1 If AM is aware that the economic operator has not fulfilled his obligations concerning the payment of taxes or social security

	<p><b>PROJECT: “PROCUREMENT, INSTALLATION AND COMMISSIONING OF THE SIGNALLING &amp; AUTOMATIC TRAIN CONTROL (ATC) SYSTEM AND OF THE AUTOMATIC TRAIN SUPERVISION (ATS) SYSTEM IN THE THESSALONIKI METRO EXTENSION TO KALAMARIA”</b></p> <p><b>INVITATION TO TENDER</b></p>	<p><b>RFP-335/18</b></p> <p><b>A.Σ. 59046</b></p>
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contributions and that he has been the subject of a final binding judgment or administrative decision, in line with the legal provisions of the country in which he is established or in line with the legislation of the country of the awarding authority, and/or

- 12.2.2 If AM can prove - using the appropriate means - that the economic operator has not fulfilled his obligations regarding the payment of taxes or social security contributions.
- 12.2.3 With regard to paragraphs 12.2.1 and 12.2.2 above, if the economic operator is a Greek citizen or if his seat is in Greece, then his obligations regarding the social security contributions shall cover both main and auxiliary social security.
- 12.2.4 With regard to paragraphs 12.2.1 and 12.2.2 above, the subject disqualification shall cease to apply when the economic operator fulfils his obligations either by paying all due taxes or social security contributions, including – on a per case basis – the accrued interests or fines, or by being subjected to a binding settlement for their payment.
- 12.2.5 If AM is aware of the fact or if it can prove using the appropriate means that to the detriment of the Bidder and within a time period of two (2) years before the expiry of the deadline for the submission of the offer: aa) three (3) acts on fines have been imposed to him from the competent inspection bodies of the Labour Inspection Authority for violations of the labour legislation, characterized, in line with Ministerial Decision 2063/Δ1632/2011 (B’ 266), as applicable each time, as “significant” or “extremely significant” violations, as these (violations) have ensued cumulatively further to three (3) inspections conducted or bb) two (2) acts on fines have been imposed to him from the competent inspection bodies of the Labour Inspection Authority for undeclared work, as these (violations) have ensued further to two (2) inspections conducted. It is necessary that the penalties described under items aa and bb must have a final and binding effect.
- 12.3** In addition, each Bidder / Economic Operator **is disqualified** from any participation in the contract conclusion procedure if any of the following cases exist, namely:
- 12.3.1 If AM can prove using any appropriate means that the economic operator has not fulfilled his obligations as these ensue from the environmental, employment protection and working conditions in the

	<p align="center"><b>PROJECT: “PROCUREMENT, INSTALLATION AND COMMISSIONING OF THE SIGNALLING &amp; AUTOMATIC TRAIN CONTROL (ATC) SYSTEM AND OF THE AUTOMATIC TRAIN SUPERVISION (ATS) SYSTEM IN THE THESSALONIKI METRO EXTENSION TO KALAMARIA”</b></p> <p align="center"><b>INVITATION TO TENDER</b></p>	<p align="center"><b>RFP-335/18</b></p> <p align="center"><b>A.Σ. 59046</b></p>
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framework of public contracts, as per para. 2, article 18 of Law 4412/16.

- 12.3.2 If the economic operator is under bankruptcy, or is under a consolidation or a liquidation process, or is under coercive administration by a receiver or by the court, or has entered into an agreement with creditors or has postponed his business activities or if he is in any similar situation deriving from similar processes foreseen in national law provisions.
- 12.3.3 If AM has sufficient reasonable proof leading to the conclusion that the economic operator has concluded agreements with other economic operators with the aim to cause distortion of competition.
- 12.3.4 If there is a conflict of interests in the sense of article 24, Law 4412/16, that cannot be addressed through less intrusive means.
- 12.3.5 If in the framework of previous participation of the economic operator in the preparation of the contract conclusion procedure there had been distortion of competition, in line with the provisions of article 48, Law 4412/16, that cannot be addressed through less intrusive means.
- 12.3.6 If during the execution of a public contract, the economic operator has exhibited a grave or continuous deficiency in fulfilling an essential requirement in the framework of a previous public contract that he has undertaken, a fact that resulted in the early termination of the previous contract, payment of compensation and other penalties.
- 12.3.7 If the economic operator has been found guilty of serious false statements in providing the information required for ascertaining that there were no reasons for his disqualification or for fulfilling the selection criteria, if he has concealed this information or if he is not in a position to submit the back up documentation required by virtue of article 21 of this Invitation.
- 12.3.8 If the economic operator has attempted to affect in an unlawful manner the decision making process of the awarding authority, to obtain confidential information that may grant him an unfair advantage in the public contract conclusion provision or to provide unintentionally misleading information that may effectively affect the decisions related to the disqualification, the selection of the Contractor or the award of the tender.


	<p align="center"><b>PROJECT: “PROCUREMENT, INSTALLATION AND COMMISSIONING OF THE SIGNALLING &amp; AUTOMATIC TRAIN CONTROL (ATC) SYSTEM AND OF THE AUTOMATIC TRAIN SUPERVISION (ATS) SYSTEM IN THE THESSALONIKI METRO EXTENSION TO KALAMARIA”</b></p> <p align="center"><b>INVITATION TO TENDER</b></p>	<p align="center"><b>RFP-335/18</b></p> <p align="center"><b>A.Σ. 59046</b></p>
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- 12.3.9 If AM can prove, using the appropriate means, that the economic operator has committed a grave professional misconduct that could question his integrity.
- 12.3.10 If the economic operator is subject to a penalty for disqualification from public contracts, in line with the provisions of article 74, Law 4412/16.
- 12.3.11 If the economic operator does not fulfil the selection criteria stipulated in article 13 of this Invitation and is not capable of providing the justification documents for the award of the tender, as these are specified in article 21 of the Invitation.
- 12.3.12 If the preconditions for the application of paragraph 4, article 8, Law 3310/2005, as currently in force (disqualification on purely national interest grounds) are met.
- 12.4 For all cases mentioned above and upon submitting his Offer, the Bidder shall submit a European Single Procurement Document, as per article 14.1.2 as a preliminary proof that (a) he is not subject to any condition mentioned in the above paragraphs of this article and (b) he fulfils the relevant selection criteria stipulated in article 13 herein.**

**The Bidder to be appointed as the Lowest Bidder is obliged, prior to the Contract signing, to submit all pertinent certificates for which the European Single Procurement Document he has submitted, in line with article 21 of this Invitation.**

AM may request the Bidders at any point throughout the procedure in question, to submit all or a part of the back-up documentation, on an as-required basis, for the correct conduct of the tendering process.

AM is entitled, at any point throughout the procedure in question, to disqualify any bidder from the tender if it is proven that, due to his actions or omissions, he has fallen or falls under the cases described in this article in the framework of this procedure.

	<p><b>PROJECT: “PROCUREMENT, INSTALLATION AND COMMISSIONING OF THE SIGNALLING &amp; AUTOMATIC TRAIN CONTROL (ATC) SYSTEM AND OF THE AUTOMATIC TRAIN SUPERVISION (ATS) SYSTEM IN THE THESSALONIKI METRO EXTENSION TO KALAMARIA”</b></p> <p><b>INVITATION TO TENDER</b></p>	<p><b>RFP-335/18</b></p> <p><b>A.Σ. 59046</b></p>
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## ARTICLE 13 SELECTION CRITERIA – MINIMUM REQUIREMENTS

In order to participate in the tender, bidders should fulfil the requirements for participation, as specified in this article, as these are stated, as a preliminary proof, in the ESPD, in line with article 12.4 herein.

It is stressed that supporting documentation proving that the relevant criteria of this article are met shall be submitted **only by the “provisional contractor”** during the evaluation procedure of the back up documentation for the award and shall be included in the folder containing the Documentation for the Award of the tender, in line with article 21.3 herein.

### 13.1 Competence for exercising professional activities

#### Requirements concerning competence for exercising professional activities


The economic operators, participating in the procedure for the conclusion of this contract, must exercise professional activities similar to the scope of the Supply.

Economic operators established in a member-state of the European Union must be registered in one of the Professional or Commercial Registries kept in the country where they are established or must satisfy any of the requirements referred to in Annex XI of Appendix A, Law 4412/2016.

Economic operators established in a member – state of the European Financial Area (EFA) or in third countries that have ratified the Government Procurement Agreement (GPA), or in third countries not falling under the previous case, and have concluded bilateral or multi-lateral agreements with the EU on matters related to the awarding procedures of Public Contracts, must be registered in a respective Professional or Commercial Registry.

### 13.2 Financial Competence

#### Minimum preconditions for Financial Competence

	<p align="center"><b>PROJECT: “PROCUREMENT, INSTALLATION AND COMMISSIONING OF THE SIGNALLING &amp; AUTOMATIC TRAIN CONTROL (ATC) SYSTEM AND OF THE AUTOMATIC TRAIN SUPERVISION (ATS) SYSTEM IN THE THESSALONIKI METRO EXTENSION TO KALAMARIA”</b></p> <p align="center"><b>INVITATION TO TENDER</b></p>	<p align="center"><b>RFP-335/18</b></p> <p align="center"><b>A.Σ. 59046</b></p>
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The Bidders are required to have a minimum average annual turnover during the last three (3) fiscal years or during the respective time period of operation, if this is less than the three-year period, equal or higher than **10,000,000.00** EURO.

### **13.3 Technical Competence**

#### Minimum preconditions for Technical Competence

In view of participating in this Tender procedure, the Bidders shall possess **experience similar to** the experience required on the basis of this procurement, during the 2008 – 2018 time period.

Experience similar to the experience required on the basis of this procurement means the design, supply, installation and commissioning of at least one signalling system for the Metro of CBTC type, including the ATS.


- 13.4** If the Bidder **invokes third-party resources**, then he shall be called upon – at the penalty of disqualification - to include in the folder containing the back up documentation for the award the relevant back up documentation stipulated in paragraphs 13.1, 13.2 and 13.3 of this Document concerning third parties, in line with the provisions of article 19.

### **ARTICLE 14 “PARTICIPATION SUPPORTING DOCUMENTS – TECHNICAL OFFER” SUB-FOLDER**

- 14.1** The Sub-Folder bearing the indication “Participation Supporting Documentation - Technical Offer” shall necessarily include the following data:

- 14.1.1** A **Letter of Guarantee of Participation in the Tender**, as per the stipulations of article 8 of this Invitation.

It is stressed that the Bidders shall submit the Letter of Guarantee for Participation electronically in .pdf format; moreover they must submit the subject LoG in a printed format (original), in line with the provisions of article 11.4 herein.

	<p align="center"><b>PROJECT: “PROCUREMENT, INSTALLATION AND COMMISSIONING OF THE SIGNALLING &amp; AUTOMATIC TRAIN CONTROL (ATC) SYSTEM AND OF THE AUTOMATIC TRAIN SUPERVISION (ATS) SYSTEM IN THE THESSALONIKI METRO EXTENSION TO KALAMARIA”</b></p> <p align="center"><b>INVITATION TO TENDER</b></p>	<p align="center"><b>RFP-335/18</b></p> <p align="center"><b>A.Σ. 59046</b></p>
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**Bidders failing to submit a Letter of Guarantee for Participation in the Tender shall be disqualified from the Tendering procedure without further examination of the content of their Sub-Folder.**


14.1.2 The **European Single Procurement Document (ESPD)**, article 79, Law 4412/2016. As concerns the associations of the economic operators that submit a joint offer, the subject ESPD shall be submitted separately for each economic operator participating in the association.

For all natural entities who are members of the administrative, managerial or supervisory body of an economic operator or if this entity is vested with the power for representation, decision making or supervising the aforesaid body, a European Single Procurement Document (ESPD) shall be submitted that must be signed by each one of the aforesaid entities or by the representative of the economic operator, in line with paragraph 13, article 107 Law 4497/2017. The representative of the economic operator for the implementation of the above shall be his legal representative, as this arises from the statutes in force or the proceedings for representation at the time of submission of the offer or of the request for participation or the natural entity duly authorised to represent the economic operator for procedures related to execution of contracts or for the specific procedure related to the execution of the contract.

The ESPD form can be extracted, saved and submitted electronically through the web portal [www.promitheus.gov.gr](http://www.promitheus.gov.gr) of ESIDIS from the website [https://ec.europa.eu/ tools- /espd](https://ec.europa.eu/tools- /espd)

#### **INSTRUCTIONS ON HOW TO FILL IN THE EUROPEAN SINGLE PROCUREMENT DOCUMENT**

- (1) The awarding authority:
  - (a) creates the ESPD for the subject Invitation in the website <http://ec.europa.eu/tools/espd>
  - (b) once the ESPD is created, the file is exported
  - (c) the exported file is in .xml format and is not readable. The file is posted in ESIDIS together with the remaining documents of the Invitation to Tender.

	<p><b>PROJECT: “PROCUREMENT, INSTALLATION AND COMMISSIONING OF THE SIGNALLING &amp; AUTOMATIC TRAIN CONTROL (ATC) SYSTEM AND OF THE AUTOMATIC TRAIN SUPERVISION (ATS) SYSTEM IN THE THESSALONIKI METRO EXTENSION TO KALAMARIA”</b></p> <p><b>INVITATION TO TENDER</b></p>	<p><b>RFP-335/18</b></p> <p><b>A.Σ. 59046</b></p>
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(2) The bidding economic operator must proceed to the following actions:

- (a) Download the file from ESIDIS, save it on his computer and then, go to the web page <http://ec.europa.eu/tools/espd>. From this web page, click “Import ESPD” in order to upload the file for the specific ESPD of the tender, already downloaded from ESIDIS.
  - (b) In this web page, fill in and select electronically the relevant fields already set by the awarding authority, as well as the date and preparation method fields.
  - (c) Click “Print”. The file is shown in a printable format and you can now print it in pdf format. In a Microsoft Windows environment, the eESPD can be printed as a PDF file using Chrome browser (endowed with an integrated function for printing PDF files). Otherwise, you can use any other software for creating PDF files available for free on the internet. In a Mac OSX or Linux environment, the eESPD can be printed from all web browser pages.
  - (d) Sign digitally the .pdf file printed (even if it has already signed it digitally in the web page).
  - (e) Submit the ESPD file in an .xml and .pdf format in his offer Folder that includes the participation supporting documentation.
- (3) The Committee responsible for the Conduct of the Tender shall evaluate the ESPD either on the basis of the pdf. or the .xml file that it shall upload at the page where the bidder created it.

If the Bidder invokes **thirty party resources** or if he knows in this **current phase that he will assign a part of the contract as a sub-contracting work**, he shall be called upon to submit the ESPD for third parties and sub-contractors. Especially as regards third parties and sub-contractors, the stipulations of article 19 herein and article 23 of the CC, respectively, must be taken into consideration.

#### 14.1.3

The **Technical Offer** of the bidders, in line with the requirements of article 15 herein.


	<p><b>PROJECT: “PROCUREMENT, INSTALLATION AND COMMISSIONING OF THE SIGNALLING &amp; AUTOMATIC TRAIN CONTROL (ATC) SYSTEM AND OF THE AUTOMATIC TRAIN SUPERVISION (ATS) SYSTEM IN THE THESSALONIKI METRO EXTENSION TO KALAMARIA”</b></p> <p><b>INVITATION TO TENDER</b></p>	<p><b>RFP-335/18</b></p> <p><b>A.Σ. 59046</b></p>
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## 14.2 Remarks

14.2.1 During examination of the participation supporting documentation, AM may request in writing from the Bidders to clarify or complete the documents they submitted within a reasonable deadline, which cannot be less than seven (7) days upon communication of the relevant notice, in line with the provisions of article 310, L. 4412/16.

14.2.2 Any bidders having submitted deficient data for which the Tender Committee will request the provision of additions/clarifications who fail to submit it and any bidders not submitting data in a duly manner, in line with the provisions of this Contract, shall be disqualified from the Tender.

Any offer that shall not include the aforementioned data or that shall not adhere to the provisions of this Invitation shall not be taken into consideration and the relevant Bidders shall be **disqualified** from the Tender.

	<p align="center"><b>PROJECT: “PROCUREMENT, INSTALLATION AND COMMISSIONING OF THE SIGNALLING &amp; AUTOMATIC TRAIN CONTROL (ATC) SYSTEM AND OF THE AUTOMATIC TRAIN SUPERVISION (ATS) SYSTEM IN THE THESSALONIKI METRO EXTENSION TO KALAMARIA”</b></p> <p align="center"><b>INVITATION TO TENDER</b></p>	<p align="center"><b>RFP-335/18</b></p> <p align="center"><b>A.Σ. 59046</b></p>
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## ARTICLE 15 TECHNICAL OFFER

**15.1** The Sub-Folder bearing the indication “Back-Up Documentation for Participation – Technical Offer shall necessarily include the Technical Offer of the Bidders, which, at the penalty of disqualification, must meet the requirements stipulated in AM’s documents entitled “Technical Description” and of the two documents entitled “Design, Performance, Materials and Workmanship Specification”.

### **15.2** Content of the Technical Offer

The Technical Offer is compiled by filling in the relevant special electronic form of the system. Then, the system produces a relevant electronic pdf. file, which is digitally signed and submitted by the Bidder. All information included in the special electronic Form of the system and in the digitally signed electronic file must coincide. In any other case, the system produces a relevant message and the Bidder is called upon to re-submit the electronic .pdf file.

Moreover, the Bidder shall attach to a separate pdf electronic file the following data, which shall bear the digital signature either of the Bidder himself (if the Bidder is a natural entity), or his legal representative (in case of a Consortium or a Joint Venture). In any other case, the Technical Offer is considered invalid.


The Technical Offer Sub-Folder shall include the following items:

**A. Detailed Table of Contents** which shall contain all data submitted in the Technical Offer.

**B.** As part of their Technical Proposal, Bidders shall submit as a minimum the following, namely:


#### **B1. As concerns the ATC signalling system, the following must be submitted:**

- System Architecture of the proposed interlocking system supported by single line diagrams and descriptions
- System Architecture of the proposed ATC/ATO/ATP system, both line side and train borne systems
- Automatic Train Control – ATC Data Communication System (DCS) Architecture and DCS – ATC interface specifications


	<p><b>PROJECT: “PROCUREMENT, INSTALLATION AND COMMISSIONING OF THE SIGNALLING &amp; AUTOMATIC TRAIN CONTROL (ATC) SYSTEM AND OF THE AUTOMATIC TRAIN SUPERVISION (ATS) SYSTEM IN THE THESSALONIKI METRO EXTENSION TO KALAMARIA”</b></p> <p><b>INVITATION TO TENDER</b></p>	<p><b>RFP-335/18</b></p> <p><b>A.Σ. 59046</b></p>
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- Single line diagram and technical description of the power supply system
- Apportionment of his systems and preliminary system hazard analysis and worst case RAMS analysis
- MTBF figures of each component, sub-system and system.
- A full description of the proposed train operation system in normal operation.
- A full description of emergency operation scenario
- A full description of the down-graded operation scenario, described in paragraph 5.6.1.

The following table summarises the minimum information that Bidders must submit in their Technical Offer. This information must meet the minimum requirements stipulated in the relevant paragraphs of the “Design, Performance, Materials & Workmanship Specification for the Signalling and Automatic Train Control (ATC) System”.

	<p align="center"><b>PROJECT: “PROCUREMENT, INSTALLATION AND COMMISSIONING OF THE SIGNALLING &amp; AUTOMATIC TRAIN CONTROL (ATC) SYSTEM AND OF THE AUTOMATIC TRAIN SUPERVISION (ATS) SYSTEM IN THE THESSALONIKI METRO EXTENSION TO KALAMARIA”</b></p> <p align="center"><b>INVITATION TO TENDER</b></p>	<p align="center"><b>RFP-335/18</b></p> <p align="center"><b>A.Σ. 59046</b></p>
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Item	Reference Paragraph in the relevant Specification	Minimum required information (for details see referenced paragraph)
1	3.7	Description of the measures to be taken for a Metro operation without train attendant and documentation that these measures are compatible with the Metro operation without train attendant of the Base Project
2	4.4.4	Technical description of the offered train positioning system and references of application.
3	4.4.6	Documented evidence of fail-safe implementation together with references of in-service installation of the proposed ATP system in other metro systems.
4	4.7.2.3	Method to manage train reversing and midline turn backs as specified above with his proposed signaling system.
5	4.8.1	Proposal of the most suitable train spacing system as outlined below and description of his proposed system supported by calculations of achievable headways together with his offer.
6	4.9	Documentation of the proposed communication and data communication concept for the ATC together with the generic safety case.
7	4.10.3	Command catalogue showing all commands and indications required to operate his proposed system. The vital commands shall be identified.
8	4.12.4	Drawings of all typical installations of the proposed track circuit and bonding equipment. In addition, submission of drawings of all typical installations regarding the proposed system on Interrupted – Non-Continuous communication ATP for the new downgraded operation of the Extension to Kalamaria, described in paragraph 5.6.1.
9	4.12.12.11	<p>For Track Circuits, inclusion of the data mentioned below for which the Contractor shall guarantee the following:</p> <ul style="list-style-type: none"> <li>• Maximum vehicle shunt in order to ensure occupation of the track circuit at the feeding end of the track circuit.</li> <li>• Minimum vehicle shunt in order to ensure release of the track circuit at the receiving end of the track circuit.</li> <li>• The guaranteed range of ballast resistance (ohm/km) in which the track circuit is designed to operate.</li> <li>• The maximum and minimum length of track circuits over which the circuit will operate without violating the specified conditions for the track circuit.</li> <li>• The type(s) and maximum length of cable necessary for connections to the track, for correct operation.</li> </ul>

	<p align="center"><b>PROJECT: “PROCUREMENT, INSTALLATION AND COMMISSIONING OF THE SIGNALLING &amp; AUTOMATIC TRAIN CONTROL (ATC) SYSTEM AND OF THE AUTOMATIC TRAIN SUPERVISION (ATS) SYSTEM IN THE THESSALONIKI METRO EXTENSION TO KALAMARIA”</b></p> <p align="center"><b>INVITATION TO TENDER</b></p>	<p align="center"><b>RFP-335/18</b></p> <p align="center"><b>A.Σ. 59046</b></p>
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10	4.13.8	Type of vehicle detection equipment proposed.
11	5.5.1	Authenticated evidence of safe and reliable implementation of a full automatic driverless Metro signalling and train control system together with references to in-service installation obtained from other public metro authorities. This evidence shall be supported by a technical explanation of the equipment principles, including failure modes and experienced availability data.
12	5.10.34	Proposal of a power supply arrangement for a typical station equipped with Interlocking equipment and submission of a list of the estimated power supply loads at each station.
13	6.4.9	Description of the proposed distance measurement system and the achieved tolerances.
14	6.4.10	Description of the proposed ATO regulation system.
15	13	Description of his proposed training model.

Bidders shall submit any other information that they deem necessary and helpful to understand the offered system.

**B2. As concerns the ATS signalling system, the following must be submitted:**


- System Architecture of the proposed ATS system supported by single line diagrams and descriptions
- Single line diagram and technical description of the power supply system
- A full description of the operation scenario in normal and degraded operation
- A full description of the proposed OCC Control room layout including description of the proposed workstations.
- Technical room layout for the central signalling equipment
- Apportionment of his systems and preliminary system hazard analysis and worst case RAMS analysis
- MTBF figures of each component, sub-system and system.

The following table summarizes the minimum information that Bidders must submit in their Technical Offer. This information must meet the minimum requirements stipulated in the relevant paragraphs of the “Design, Performance, Materials & Workmanship Specification for the Automatic Train Supervision (ATS) System”.

	<p align="center"><b>PROJECT: “PROCUREMENT, INSTALLATION AND COMMISSIONING OF THE SIGNALLING &amp; AUTOMATIC TRAIN CONTROL (ATC) SYSTEM AND OF THE AUTOMATIC TRAIN SUPERVISION (ATS) SYSTEM IN THE THESSALONIKI METRO EXTENSION TO KALAMARIA”</b></p> <p align="center"><b>INVITATION TO TENDER</b></p>	<p align="center"><b>RFP-335/18</b></p> <p align="center"><b>A.Σ. 59046</b></p>
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Item	Reference Paragraph in the relevant Specification	Minimum required data (for details see referenced paragraph)
1	4.2.2.2	Description of the extent to which vital commands are implemented in the proposed system.
2	4.3.2.2	Description of the proposed automatic train Route Management.
3	4.4.3.1	Technologies of back projections in form of matrix displays with high resolution shall be applied for large screen displays. Documentation of the proposed system including availability and MTBF figures and references where the offered system is in operation for rail traffic overviews.
4	4.4.4.3	List of projects for which identical or manifestly similar hardware have been supplied by the proposed supplier.
5	5.2.4.1	Evidence of safety related implementation of the ATS system together with references to in-service installation obtained from other public metro authorities of at least 2 years. This evidence shall be supported by a technical explanation of the equipment principles, including failure modes.
6	12.3	Description of the proposed training workstation, ATS simulator and performances

Bidders shall submit any other information that they deem necessary and helpful to understand the offered system.

	<p align="center"><b>PROJECT: “PROCUREMENT, INSTALLATION AND COMMISSIONING OF THE SIGNALLING &amp; AUTOMATIC TRAIN CONTROL (ATC) SYSTEM AND OF THE AUTOMATIC TRAIN SUPERVISION (ATS) SYSTEM IN THE THESSALONIKI METRO EXTENSION TO KALAMARIA”</b></p> <p align="center"><b>INVITATION TO TENDER</b></p>	<p align="center"><b>RFP-335/18</b></p> <p align="center"><b>A.Σ. 59046</b></p>
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### 15.3 Remarks concerning the Technical Offer

The evaluation of the Technical Offer does not mean acceptance of the terms contradicting any requirements of the Tender Documents or the basic rules for the workmanlike and safe construction/manufacturing. Therefore, during the Design preparation stage and the Supply implementation, the Contractor ought to adjust these terms, in line with AM Specifications, without increasing the offered amounts.

If, in his Technical Offer the Contractor has proposed improvements as compared to AM Specifications, he is obliged to incorporate them during the stage of the preparation of the Design and implementation of the Supply without any increase to the offered amounts.


During the evaluation of the Technical Offers, AM can call upon the bidders in writing to clarify or supplement the documents that they have submitted within a reasonable time-period, which cannot be less than seven (7) days upon communication to them of the relevant notice, in line with the provisions of article 310, Law 4412/16, whose provisions fully apply to this tender.

## ARTICLE 16 “FINANCIAL OFFER” SUB-FOLDER CONTENT

**16.1** At the penalty of disqualification, the Financial Offer shall be submitted in an electronic format in the Sub-Folder entitled “Financial Offer”.

The financial offer shall be compiled by filling in the respective specific electronic form of the system. Subsequently, the system produces the respective electronic file in pdf format, which is digitally signed and submitted by the candidate. The information contained in the specific electronic form of the system and in the produced electronic file, which is digitally signed, shall be identical. In any other case, the system produces a relevant message and the candidate is called upon to produce anew the pdf electronic file.

It is also noted that the candidate shall attach to a separate pdf electronic file the Financial Offer Form (Statement and Table of the Offer), filled in, which shall bear the digital signature either of the bidder himself (if the bidder is a natural entity) or of his legal

	<p><b>PROJECT: “PROCUREMENT, INSTALLATION AND COMMISSIONING OF THE SIGNALLING &amp; AUTOMATIC TRAIN CONTROL (ATC) SYSTEM AND OF THE AUTOMATIC TRAIN SUPERVISION (ATS) SYSTEM IN THE THESSALONIKI METRO EXTENSION TO KALAMARIA”</b></p> <p><b>INVITATION TO TENDER</b></p>	<p><b>RFP-335/18</b></p> <p><b>A.Σ. 59046</b></p>
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representative in case of a consortium or a joint venture. In any other case, the financial offer is considered invalid.

The “Financial Offer” Form shall include the Table of the Offer. The Lowest Bidder shall be the Bidder who offered the lowest price for this specific section

The Bidders must fill in the form with due attention as per the provisions of this article.

It is pointed out that any correction, deletion, reference and, in general, alteration of the text of the Financial Offer Form, or the expression of comments or conditions or terms of this text, shall be considered as reservations concerning the terms of the Tender and shall lead to the rejection of the Financial Offer of the Bidder who expresses them. In case there is a conflict between the price expressed in words and the price expressed in numbers in the Financial Offer Form, the price expressed in words prevails.

**In addition, at the penalty of disqualification, the submitted Financial Offers should not exceed the respective amounts of AM’s budget.**


The offered amounts shall not include VAT, which shall be borne by AM. The offered amounts shall be expressed in EURO.

- 16.2** The offers shall be valid and bind the bidders for **three hundred and sixty (360) days** from the day that follows the deadline for the submission of the offers. Any offers indicating an expiry date earlier than the aforementioned one, shall be rejected as unacceptable.

## **ARTICLE 17 TENDER PHASES - EVALUATION PROCEDURE OF THE OFFERS**

### **17.1 General**

The Tender is carried out by a Committee appointed by AM’s BoD Resolution. This Committee shall be responsible for the conduct of the Tender from the stage of the unsealing of the offers until the stage of submitting the relevant Recommendation before AM’s BoD for the award of the Tender to the lowest bidder, or the annulment of the procedure; in general, it shall opine for any issue that may derive during the awarding procedure, including the required opinions on

	<p align="center"><b>PROJECT: “PROCUREMENT, INSTALLATION AND COMMISSIONING OF THE SIGNALLING &amp; AUTOMATIC TRAIN CONTROL (ATC) SYSTEM AND OF THE AUTOMATIC TRAIN SUPERVISION (ATS) SYSTEM IN THE THESSALONIKI METRO EXTENSION TO KALAMARIA”</b></p> <p align="center"><b>INVITATION TO TENDER</b></p>	<p align="center"><b>RFP-335/18</b></p> <p align="center"><b>A.Σ. 59046</b></p>
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issues that may arise during the examination of the appeals to be filed by the Authority for the Examination of Preliminary Appeals (AEPP).

The evaluation, scoring and classification of the offers shall be based on the criteria described below in detail.

## **17.2 Tender phases**

The tender procedure is divided into the following two (2) phases:

### **17.2.1 1<sup>st</sup> Phase: Review of the Back up Documentation for Participation and Evaluation of the Technical Offers of the Bidders**

The electronic unsealing of the offers shall be effected at the time stipulated in article 10.3 of this document by AM's Tender Committee – duly certified into the system.


Upon unsealing (Sub)Folders “Back-up Documentation for Participation – Technical Offer”, those participating in the Tender will have electronic access to the content of the offers that have been unsealed.

Subsequently, during a private meeting, the Committee shall review each Bidder's submitted documentation and shall decide whether the participation terms that have been set by the Invitation to Tender are fulfilled or not.

In the 1<sup>st</sup> phase of the Tender, disqualified shall be those Bidders not fulfilling the preconditions and requirements of the Invitation to Tender. The Folders containing the Financial Offer shall remain sealed.

Throughout the review of Sub-Folders “Back-up Documentation for Participation – Technical Offer”, the Committee reserves its right and discretion and according to its absolute judgement to request, electronically through the system, clarifications and supplementary information on the submitted data only, according to article 14.2.1 herein.

Bidders who, electronically through the system, will submit incomplete data for which the Tender Committee shall request supplementary data / clarifications – within a reasonable time period - which shall not

	<p align="center"><b>PROJECT: “PROCUREMENT, INSTALLATION AND COMMISSIONING OF THE SIGNALLING &amp; AUTOMATIC TRAIN CONTROL (ATC) SYSTEM AND OF THE AUTOMATIC TRAIN SUPERVISION (ATS) SYSTEM IN THE THESSALONIKI METRO EXTENSION TO KALAMARIA”</b></p> <p align="center"><b>INVITATION TO TENDER</b></p>	<p align="center"><b>RFP-335/18</b></p> <p align="center"><b>A.Σ. 59046</b></p>
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be provided or shall be provided incomplete shall be disqualified from the 2<sup>nd</sup> Phase of the Tender.

**Disqualified from the 1<sup>st</sup> Phase of the Tender shall be the bidders**

- a) who do not fulfil the preconditions and requirements of Article 14.
- b) who are rejected on the basis of the technical evaluation of their technical offers, according to article 18.

Upon completion of the review of the Sub-Folders containing the Back-Up Documentation for Participation, the Committee shall compile a Proceedings related to the evaluation of the 1<sup>st</sup> Phase, which will include those Bidders accepted to the subsequent phase and those justifiably rejected. The results of the 1<sup>st</sup> Phase of the Tender shall be approved through a Resolution to be made by AM's BoD and be communicated to the participants through the ESIDIS system.

Preliminary appeals can be filed against the aforesaid AM's BoD Resolution, as per paragraph 17.4 of this article.

If no appeals are filed, then the results of the 1<sup>st</sup> phase shall become final.


The Bidders fulfilling the preconditions of the 1<sup>st</sup> phase shall participate in the 2<sup>nd</sup> phase of the Tendering process, i.e. the procedure of the unsealing of Financial Offers.

17.2.2

**2<sup>nd</sup> Phase: Unsealing of Financial Offers and Overall Evaluation**

The electronic Sub-Folders of the financial offers shall be electronically unsealed by the Committee - duly certified within the system – on the date and at the time to be notified to those whose offers were evaluated as acceptable, after the evaluation of the remaining information. Similarly, upon the electronic unsealing of the Sub-Folders “Financial Offer”, those bidders whose financial offers were unsealed shall have access to the content of the unsealed offers, so that they can be informed about the financial offers that have been submitted.

Following the review of the Financial Offers, the Tender Committee shall prepare a Final Classification Table, presenting the offers in an ascending order, i.e. from the lowest to the highest one; this Table

	<p><b>PROJECT: “PROCUREMENT, INSTALLATION AND COMMISSIONING OF THE SIGNALLING &amp; AUTOMATIC TRAIN CONTROL (ATC) SYSTEM AND OF THE AUTOMATIC TRAIN SUPERVISION (ATS) SYSTEM IN THE THESSALONIKI METRO EXTENSION TO KALAMARIA”</b></p> <p><b>INVITATION TO TENDER</b></p>	<p><b>RFP-335/18</b></p> <p><b>A.Σ. 59046</b></p>
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shall be included in a Proceedings. The results of the Tender shall be approved through the AM's BoD Resolution, which shall be communicated to the participants via the ESIDIS system.

Preliminary appeals can be filed against the aforesaid AM's BoD Resolution, as per paragraph 17.4 of this article.

**If no appeals are filed against the results of the 2<sup>nd</sup> phase, or after the examination of any appeals, then** AM shall call upon the lowest bidder to submit the Back-Up Documentation for Award, in line with the subsequent article 17.3.


### **17.3 Award of the Tender**

17.3.1 Upon completion of the offers evaluation procedure, the bidder, to whom the project is to be awarded (“provisional contractor”), is called upon within a **fifteen (15) – day period** after the pertinent notification that will be transmitted to him electronically, to submit, through the system, the back-up documentation contained in article 21 in .pdf format and in an Folder labelled “Back-Up Documentation for the Award”. The back-up documentation signed by the bidder himself shall be digitally signed. Any statements shall be signed digitally and signature certification is not required.

The back-up documentation shall be submitted by the lowest bidder in a printed format too within a **three (3) – working day** period upon the electronic submission to the Tender Committee. The aforesaid documentation and information in the printed format shall be submitted by the lowest bidder to AM's Document Control Centre (DCC) in a sealed Folder labelled “Back-Up Documentation for the Award” that is to be accompanied by a Cover Letter.

The electronic unsealing of the Folder labelled “Back-Up Documentation for the Award” of the lowest bidder and to whom the project is to be awarded, shall be effected in **two (2) working days** upon submission of the back-up documentation in the printed format, further to the relevant notification of the participants who are entitled to becoming cognizant of the submitted documentation.

If the Back-Up Documentation for the Award is not submitted or if during the pertinent review it is ascertained that the information provided is false or if the back-up documentation submitted do not prove that there are no reasons for the bidder's disqualification – by

	<p><b>PROJECT: “PROCUREMENT, INSTALLATION AND COMMISSIONING OF THE SIGNALLING &amp; AUTOMATIC TRAIN CONTROL (ATC) SYSTEM AND OF THE AUTOMATIC TRAIN SUPERVISION (ATS) SYSTEM IN THE THESSALONIKI METRO EXTENSION TO KALAMARIA”</b></p> <p><b>INVITATION TO TENDER</b></p>	<p><b>RFP-335/18</b></p> <p><b>A.Σ. 59046</b></p>
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virtue of article 12 or the provisions of article 13 – or if deficiencies exist, then all the above constitute reasons for rejecting the offer of the lowest bidder and for declaring him forfeited; moreover, his Letter of Guarantee for Participation becomes payable, under the reservation of paragraph 2 article 104 of Law 4412/16. In this case, AM shall call upon the bidder that comes next in the classification order of the final Classification Table of the Bidders to submit the relevant Back-Up Documentation for the Award and the procedure continues as stipulated above. As to the remaining issues, applicable shall be article 103 of Law 4412/16.


The procedure related to the review of the Back-Up Documentation for Award shall be completed through the compilation on the part of the Committee of the Proceedings and the transmittal of the envelope to AM's BoD for it to make a resolution about either the award of the contract or the rejection of the offer of the lowest bidder and the award of the contract to the bidder that comes next in the final Classification Table or the annulment of the procedure.

The resolution for the award, along with a copy of all proceedings pertaining to the review and evaluation of the offers shall be electronically communicated by AM to each Bidder – except the provisional Contractor.

Preliminary appeals can be filed against the aforesaid AM's BoD Resolution, as per paragraph 17.4 of this article.

#### 17.3.2

Upon idle expiry of the deadline for introducing legal remedy and means at the stage of preliminary and judicial protection, after the issuance of suspension decision on them and the completion of the preliminary contractual review by the State's Council, the provisional contractor shall submit the updated back up documentation stipulated in article 21 herein, further to AM's relevant request. If ascertained that the conditions for participation and the qualitative selection criteria, as per articles 12 and 13 respectively are still in effect, and that there are no reasons for disqualification and upon occurrence of the legal effects stipulated in paragraph 3, article 105 Law 4412/16 then the awarding resolution shall be communicated to the provisional contractor. Through the same resolution, the contractor is called upon to present himself to sign the contract within a deadline of twenty (20) days upon communication of the relevant special invitation to him, in line with article 22 of this Invitation. The said communication entails all

	<p><b>PROJECT: “PROCUREMENT, INSTALLATION AND COMMISSIONING OF THE SIGNALLING &amp; AUTOMATIC TRAIN CONTROL (ATC) SYSTEM AND OF THE AUTOMATIC TRAIN SUPERVISION (ATS) SYSTEM IN THE THESSALONIKI METRO EXTENSION TO KALAMARIA”</b></p> <p><b>INVITATION TO TENDER</b></p>	<p><b>RFP-335/18</b></p> <p><b>A.Σ. 59046</b></p>
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legal effects of the awarding resolution in line with the provisions of paragraph 3, article 105 Law 4412/16.


#### **17.4 Preliminary Appeals**

Disputes that may arise due to AM's actions or omissions are governed by the stipulations of Book IV “LEGAL PROTECTION IN THE EXECUTION OF PUBLIC CONTRACTS” (articles 345-374) of Law 4412/2016 comes into force, as amended and applicable.

#### **Article 18 EVALUATION OF TECHNICAL OFFERS**

**The Technical Offers shall be evaluated by the Tender Committee.** The technical offers of the bidders shall not be subject to a comparative assessment. They shall be only examined in terms of their compliance with the Specifications set by AM (pass-fail system).

**Non compliance of the Bidders with the Specifications shall result in their disqualifications from the further stages of the tender procedure.**

	<p align="center"><b>PROJECT: “PROCUREMENT, INSTALLATION AND COMMISSIONING OF THE SIGNALLING &amp; AUTOMATIC TRAIN CONTROL (ATC) SYSTEM AND OF THE AUTOMATIC TRAIN SUPERVISION (ATS) SYSTEM IN THE THESSALONIKI METRO EXTENSION TO KALAMARIA”</b></p> <p align="center"><b>INVITATION TO TENDER</b></p>	<p align="center"><b>RFP-335/18</b></p> <p align="center"><b>A.Σ. 59046</b></p>
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## **ARTICLE 19 INVOCATION OF THIRD PARTY RESOURCES**

The Bidders can invoke and make use of the economic and financial (credibility) or technical and professional capacity of other economic operators, as per article 307 of Law 4412/16, regardless of the legal nature of the existing relationships between the candidates and these operators.

In this case, the invocation of third party resources must be available by the bidder for the execution of the Contract.


The Bidders can invoke and make use of the technical and professional capacity of other operators, only if the third party operators are to execute works or to provide services for which the specific capacities are required.

The Bidders shall incorporate in the sub-Folder “Participation Supporting Documents – Technical Offer” the ESPD of the economic operators, whose advantages they invoke.

The back-up documentation for the award of the tender shall include the documentation of the ESPD, as foreseen for the Bidders. In case the terms of these articles are not adhered to, i.e. non-submission of the relevant supporting documents of the ESPD, then the invocation regarding the economic and financial (credibility), or technical and professional capacity of the economic operators shall not be taken into account during the evaluation of the offers.

Moreover, the back-up documentation for the award of the tender shall also include a resolution of the BoD, or other competent statutory Body of the operator, whereby approval shall be granted to provide the Bidder with the economic and financial (credibility), or technical and professional capacity (experience), so that this capacity can be available to the candidate in the execution of the project. The relevant reference shall be very detailed, itemizing the specific resources that shall be available for the contract, in a manner enabling AM to evaluate and estimate the significance of these resources and to ascertain the fulfilment of the commitment during the execution of the contract. In addition, the statutory body of the Bidder shall submit a respective resolution accepting the relevant invocation.

In case Bidders invoke economic and financial (credibility) resources in the aforementioned resolution, then the commitment that the third

	<p align="center"><b>PROJECT: “PROCUREMENT, INSTALLATION AND COMMISSIONING OF THE SIGNALLING &amp; AUTOMATIC TRAIN CONTROL (ATC) SYSTEM AND OF THE AUTOMATIC TRAIN SUPERVISION (ATS) SYSTEM IN THE THESSALONIKI METRO EXTENSION TO KALAMARIA”</b></p> <p align="center"><b>INVITATION TO TENDER</b></p>	<p align="center"><b>RFP-335/18</b></p> <p align="center"><b>A.Σ. 59046</b></p>
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party will be jointly and severally responsible along with the Bidder before AM shall also be included.

The above shall be submitted for any other operator, whose economic and financial (credibility), or technical and professional capacity shall be utilized, even if these operators constitute subsidiaries of the third party.

In case the bidder fails to meet his obligations, then the invocation shall not be taken into consideration.

Under the same terms, an association of economic operators can rely of the capacities of those participating in the association or on other economic operators.

The statements and documentation of the candidate and the operators relating to the invocation of resources shall constitute the content of the Supply Contract.

If for any reason whatsoever, the Bidder fails to make available the invoked resources, then the Participation Letter of Guarantee, stipulated in article 8 of the Invitation, shall become payable to AM.


In this case, AM's BoD may nominate as the Contractor, the Bidder whose offer follows in the classification drawn by the Tender Committee.

## **ARTICLE 20 ANNULMENT OF THE PROCEDURE**

**20.1** The Tender shall be completed upon approval of its results and its award by AM's BoD.

**20.2** Further to the BoD Resolution and the opinion of the Tender Committee, AM can annul the procedure for the contract award in the following cases:

- a) If the procedure was fruitless (unproductive) either due to the non-submission of offers, or due to the rejection of all offers or due to the disqualification of all bidders, in line with the contract documents, or
- b) if no one of the bidders come to sign the contract.

	<p align="center"><b>PROJECT: “PROCUREMENT, INSTALLATION AND COMMISSIONING OF THE SIGNALLING &amp; AUTOMATIC TRAIN CONTROL (ATC) SYSTEM AND OF THE AUTOMATIC TRAIN SUPERVISION (ATS) SYSTEM IN THE THESSALONIKI METRO EXTENSION TO KALAMARIA”</b></p> <p align="center"><b>INVITATION TO TENDER</b></p>	<p align="center"><b>RFP-335/18</b></p> <p align="center"><b>A.Σ. 59046</b></p>
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**20.3** The awarding procedure may also be annulled by virtue of AM’s BoD resolution and further to the Tender Committee opinion, in the following cases, namely:

- a) for irregular conduct of the tender procedure, provided that the result of the Tender is affected by the irregularity;
- b) if the financial and technical parameters related to the awarding procedure have radically altered and the execution of the contractual scope no longer interests AM;
- c) if the contract cannot be executed due to force majeure;
- d) if the offer is deemed to be financially disadvantageous;
- e) if the validity of the offers expires and the Bidders do not provide the required extensions;

**20.4** If errors or omissions are noted at any phase of the procedure, the Tender procedure may be partially cancelled and/or, its result may be reformed accordingly by AM’s BoD, or it may be decided by AM’s BoD itself to repeat the Tender from the point where an error or omission was noted.

**20.5** As to the remaining items, valid shall be the provisions of article 317, Law 4412/16.


**20.6** In case of cancellation or annulment of the Tender, the participants do not have any right of compensation for any reason whatsoever.

#### **ARTICLE 21 BACK-UP DOCUMENTATION FOR THE AWARD**

The Back-Up Documentation for the Award that the “provisional contractor” is/are called upon to submit to AM before the signing of the contract, as per article 17.3 herein, is the following:

##### **21.1 Back-up Documentation for the Review of Article 10 “Reasons for Disqualifying the Economic Operator”**

**21.1.1** As regards the cases stipulated in paragraphs 12.1.1 to 12.1.6, the following shall be submitted: an extract of the penal record or, in lack thereof, other equivalent document issued from the competent judicial or administrative authority of the country of origin of the bidder or each

	<p align="center"><b>PROJECT: “PROCUREMENT, INSTALLATION AND COMMISSIONING OF THE SIGNALLING &amp; AUTOMATIC TRAIN CONTROL (ATC) SYSTEM AND OF THE AUTOMATIC TRAIN SUPERVISION (ATS) SYSTEM IN THE THESSALONIKI METRO EXTENSION TO KALAMARIA”</b></p> <p align="center"><b>INVITATION TO TENDER</b></p>	<p align="center"><b>RFP-335/18</b></p> <p align="center"><b>A.Σ. 59046</b></p>
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member of the bidder (in case of Joint Venture), proving that the required preconditions are met. In case the bidder is a legal entity, penal records should concern:

- his administrators (in case of General Partnership Companies, Limited Liability Companies or Limited Partnership Companies);
- the Managing Director and all members of the BoD (in case of a Société Anonyme);
- the natural entities responsible for its administration (in any other case);
- the natural entity signing the Financial Offer on behalf of the legal entity, if other than the above.


21.1.2 As far as Greece and items of articles 12.2.1 and 12.2.2 are concerned, the following must be submitted, namely:

- the dept clearance certificate issued by the competent Tax Authorities
- the certificate of solvency issued by the Social Security Fund (IKA) or the Social Security Organization concerned, and

21.1.3 As far as Greece and the item of article 12.2.5 are concerned, the following must be submitted, namely:

- a certificate issued by the Programming and Coordination Directorate of the Labour Relationships Inspection Authority, presenting the acts on the imposition of fines that have been issued to the detriment of the economic operator in a two (2)-year period before the expiry date of the deadline for the submission of the offer. If the aforesaid certificate cannot be issued, then, in line with the provisions of paragraph 2, article 80 of Law 4412/2016, this certificate shall be substituted by a statement under oath.

With regard to foreign bidders, they must submit the corresponding certificates issued by the authority concerned of their country of origin accompanied by an official translation. In case the country concerned does not issue such a document or certificate or in case this document or certificate does not cover all the cases stipulated above, then the said document or certificate can be substituted by a statement under oath (affidavit) to be submitted by the interested party; moreover, in the member-states where there is no provision for the issuance of affidavits, these can be replaced by an official statement before the Judicial or Administrative Authority concerned, a notary public or a professional organization of the country of origin of the bidder.

	<p align="center"><b>PROJECT: “PROCUREMENT, INSTALLATION AND COMMISSIONING OF THE SIGNALLING &amp; AUTOMATIC TRAIN CONTROL (ATC) SYSTEM AND OF THE AUTOMATIC TRAIN SUPERVISION (ATS) SYSTEM IN THE THESSALONIKI METRO EXTENSION TO KALAMARIA”</b></p> <p align="center"><b>INVITATION TO TENDER</b></p>	<p align="center"><b>RFP-335/18</b></p> <p align="center"><b>A.Σ. 59046</b></p>
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- 21.1.4 Items under article 12.3.2, as far as Greece is concerned, shall be proven through the submission of the relevant certificates issued by the Courts of First Instance concerned. From these certificates it should result that the bidder is not bankrupt, under a consolidation or a liquidation process, or is under coercive administration by a receiver or by the court, or has entered into an agreement with creditors or has postponed his business activities or if he is in any similar situation deriving from similar processes foreseen in national law provisions.

For cases under the items of Article 12.3.2, foreign Bidders must submit other equivalent document issued by the Judicial or Administrative Authority of their country of origin, proving that the aforesaid preconditions are met. Where the country in question does not issue such documents or certificates, or where these do not cover all the cases specified in the above, they may be replaced by a declaration on oath or, in Member States where there is no provision for statement under oath (affidavit), by a legal statement made by the person concerned before a competent judicial or administrative authority, a notary or a competent professional or trade body, in the country of origin or in the country whence that bidder comes.

- 21.1.5 As far as case 12.3.9 is concerned, the bidder shall submit a certificate issued by the competent Authority (Professional Record or the respective Chamber) verifying that no professional misconduct has been committed inducing the imposition of a disciplinary action. The Companies for which there is no disciplinary council shall submit an official statement certifying that no disciplinary council exists and that they have not committed any grave professional misconduct.

With regard to foreign bidders, they must submit the corresponding certificates issued by the authority concerned of the member-state accompanied by an official translation. In case the state concerned does not issue such a document or certificate or in case this document or certificate does not cover all the cases stipulated above, then the said document or certificate can be substituted by a legal statement before the Judicial or Administrative Authority, a notary public or a professional organization of the country of origin of the bidder.

- 21.1.6 For items 12.3.1, 12.3.3, 12.3.4, 12.3.5, 12.3.6, 12.3.7, 12.3.8, 12.3.10, as far as Greece is concerned, the bidder shall submit a statement under oath certifying that the economic operator is not

	<p><b>PROJECT: “PROCUREMENT, INSTALLATION AND COMMISSIONING OF THE SIGNALLING &amp; AUTOMATIC TRAIN CONTROL (ATC) SYSTEM AND OF THE AUTOMATIC TRAIN SUPERVISION (ATS) SYSTEM IN THE THESSALONIKI METRO EXTENSION TO KALAMARIA”</b></p> <p><b>INVITATION TO TENDER</b></p>	<p><b>RFP-335/18</b></p> <p><b>A.Σ. 59046</b></p>
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subject to any of the aforementioned cases specified in article 12 herein or, in Member States where there is no provision for statement under oath, by a legal statement made by the person concerned before a competent judicial or administrative authority, a notary or a competent professional or trade body, in the country of origin or in the country whence that bidder comes.

#### 21.1.7 Certificates pertaining to the nominalization of the Bidders' shares

As regards article 12.3.12 and in order to be proven that the Bidder meet the requirements of Law 3310/2005, the following must be met as regards the nominalization of the shares:


AM ought to examine, at the penalty of unacceptable candidatureship, whether the conditions of article 8, paragraphs 1-3, L.3310/2005 (FEK A 30/14.02.2005) are met, as well as whether an offshore Company, as per the stipulations of case (a) of paragraph 4, article 4 of L. 3310/2005 (FEK A 30/14.02.2005), participates in the Tender.

**The shares of the Greek or Foreign SA Companies participating in the Tender must be nominal down to a natural entity level.**

More specifically, in accordance **with article 8, paragraph 1 of L. 3310/2005**, the shares of the Sociétés Anonymes that participate, either individually or as members of joint ventures in a tendering procedure, or in a project construction awarding procedure or in a procedure related to the supply of goods or provision of services and designs of the Public Sector or of legal entities of the wider Public Sector, the limitation of which is set by the provisions of this Law, whose financial scope or price is over one million Euro, shall be mandatorily nominal shares. Should the shareholder is another SA company with a participation percentage at least one per cent (1%), then all shares of this company shall be nominal down to the natural entity level.

In case of participation of companies vested with a legal form other than SAs, in which SA companies participate or have company shares with a participation percentage in the share capital at least one per cent (1%), their shares shall be mandatorily nominal down to the last natural entity level.

In accordance with **article 8, paragraph 2, L. 3310/2005**, the same obligation shall be valid for foreign SA companies, on condition that

	<p><b>PROJECT: “PROCUREMENT, INSTALLATION AND COMMISSIONING OF THE SIGNALLING &amp; AUTOMATIC TRAIN CONTROL (ATC) SYSTEM AND OF THE AUTOMATIC TRAIN SUPERVISION (ATS) SYSTEM IN THE THESSALONIKI METRO EXTENSION TO KALAMARIA”</b></p> <p><b>INVITATION TO TENDER</b></p>	<p><b>RFP-335/18</b></p> <p><b>A.Σ. 59046</b></p>
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the Law of the Country where they are seated, imposes the nominalization of all their shares down to the natural entity level for their overall activities or for the specific activity.


In case the law of the country where the candidates are seated **does not impose** the nominalization of the shares, then a pertinent certification shall be submitted by the authority concerned of the said country - if there is a relevant provision; otherwise, an official statement of the Bidder shall be submitted. In this case - where there is not any obligation for the nominalization of the shares - the foreign company must submit to AM a valid and updated list of their shareholders, who possess at least one per cent (1%) of the shares or of the voting rights of the SA Company. In case the company does not keep an updated list of their shareholders, then it shall submit a relevant list of the shareholders who possess at least one per cent (1%) of the shares or of the voting rights, in accordance with the last General Assembly, should these shareholders are known to the Company. Otherwise, the Company ought to justify the reasons why these shareholders are not known.

The obligation for further nominalization down to the natural entity level shall not be valid as regards the companies introduced in the Stock Exchanges of the member-states of the European Union or the Organization for Economic Cooperation and Development (OECD).

**The Greek Companies** should submit the following:

- (a) A Certificate issued by the Authority concerned that supervises the Company, as per the provisions of Codified Law 2190/1920, proving that the company's shares, on the basis of the company statutes, are nominal, taking into consideration the relevant provisions of P.D. 82/1996, article 1, paragraph 2, case (a), clauses 3 and 4.
- (b) A detailed statement with the details of the company's shareholders and the number of shares of each of its shareholders, as these details are recorded in the company's shareholders book not later than thirty (30) days before the deadline for the submission of the offers.

If, based on the shareholders list, it arises that the shareholders of the company consist in other SA Companies, these must have nominal shares - on the basis of their statutes - and must have submitted to


	<p><b>PROJECT: “PROCUREMENT, INSTALLATION AND COMMISSIONING OF THE SIGNALLING &amp; AUTOMATIC TRAIN CONTROL (ATC) SYSTEM AND OF THE AUTOMATIC TRAIN SUPERVISION (ATS) SYSTEM IN THE THESSALONIKI METRO EXTENSION TO KALAMARIA”</b></p> <p><b>INVITATION TO TENDER</b></p>	<p><b>RFP-335/18</b></p> <p><b>A.Σ. 59046</b></p>
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the company a certification, certifying that their shareholders are natural entities, partnerships, Ltd Companies, or legal entities of any other form except SAs. If the shareholders of these SA Companies too are SA Companies, then the latter must also have nominal shares and must submit a certification, certifying that their shareholders are natural entities, partnerships, Ltd Companies, or legal entities of any other form except SAs. If the shareholders of the latter SA Companies are SA Companies, then the latter must submit a certification, certifying that their shares are nominal ones, as well as the relevant certifications about their shareholders as stated above, until the final shareholders of all SA Companies are identified down to the level of natural entity, partnership, Ltd, or legal entities of any other form except SAs.

**The foreign companies** participating in the Tender must submit the following, should the law of the country where they are seated **imposes** the nominalization of all their shares down to the natural entity level, as regards their overall activities or a specific activity:

- (a) A Certificate issued by the Authority concerned, as per the Law of the Country where the Company is seated, proving that the company's shares, on the basis of the company statutes, are nominal. With regard to Companies whose shares were unregistered in the past, on the basis of the company statutes, during the submission of the candidateship related Folder, the amendment of company statutes must have been completed and approved by the Supervising Authority, so that all shares may have been converted into nominal ones.
- (b) A detailed statement with the details of the bidding company's shareholders and the number of shares of each of its shareholders, as these details are recorded in the company's shareholders book as per the Law of the Country where the Company is seated; this statement should be submitted within thirty (30) days the latest prior to the submission of the offer.
- (c) Any other document, proving the nominalization down to the natural entity level of the shares of the bidding company that has been effected; these documents should be submitted within thirty (30) days the latest prior to the submission of the offer.

The foreign companies participating in the Tender must submit , should the law of the country where they are seated **does not impose**

	<p align="center"><b>PROJECT: “PROCUREMENT, INSTALLATION AND COMMISSIONING OF THE SIGNALLING &amp; AUTOMATIC TRAIN CONTROL (ATC) SYSTEM AND OF THE AUTOMATIC TRAIN SUPERVISION (ATS) SYSTEM IN THE THESSALONIKI METRO EXTENSION TO KALAMARIA”</b></p> <p align="center"><b>INVITATION TO TENDER</b></p>	<p align="center"><b>RFP-335/18</b></p> <p align="center"><b>A.Σ. 59046</b></p>
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the nominalization of all their shares up to the natural entity level, as regards their overall activities or a specific activity:

- (a) a valid and updated list of their shareholders, who possess at least one per cent (1%) of the shares or of the voting rights of the SA Company;
- (b) In case the company does not keep an updated list of their shareholders, then it shall submit a relevant list of the shareholders who possess at least one per cent (1%) of the shares or of the voting rights, in accordance with the last General Assembly, should these shareholders be known to the Company;
- (c) In any other case, the Company ought to justify the reasons why these shareholders are not known.


## **21.2 Legalizing documents**

21.2.1 Legalizing documents proving that the Bidder has been established and is operating legally, as well as the persons legally representing the Bidder and committing him through their signatures in the relevant tender documents.

21.2.2 The Resolution issued by the BoD of the Company (or the statutory body concerned) approving the appointment of a representative for the signing of all relevant documents or statements related to the Tender, as well as for the submission and signing of the offer and any other required data until the contract signing date.

In case of an association, the aforementioned Resolution should also include the following items for each member:

- The acceptance to jointly participate in the Tender procedure and in the execution of the Contract
- The extent and the type of participation each member in the consortium / association (including the allocation of fee among them)
- The statement that they are solidly and wholly responsible each one of them before AM with regard to the obligations ensuing from the Contract

	<p align="center"><b>PROJECT: “PROCUREMENT, INSTALLATION AND COMMISSIONING OF THE SIGNALLING &amp; AUTOMATIC TRAIN CONTROL (ATC) SYSTEM AND OF THE AUTOMATIC TRAIN SUPERVISION (ATS) SYSTEM IN THE THESSALONIKI METRO EXTENSION TO KALAMARIA”</b></p> <p align="center"><b>INVITATION TO TENDER</b></p>	<p align="center"><b>RFP-335/18</b></p> <p align="center"><b>A.Σ. 59046</b></p>
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- The appointment of one of the member-companies as the common representative of the consortium before AM throughout the duration of the Contract, which shall have the irrevocable order and full authorization of the remaining members to represent the consortium and bind the members forming the latter before AM and, in general, to act and state all subjects necessary for the execution of the Contract. This Company shall have the largest percentage for participation in the Consortium (leader) and the natural entity to be appointed as the common representative of the consortium before AM shall derive there-from.

### **21.3 Back-up documentation for the Review of article 13 “Selection Criteria”**


#### **21.3.1 Documentation proving competence for exercising professional activities**

In order to prove the competence for exercising professional activities related requirements, a certificate issued by the competent professional or commercial registry of the country of his seat must be submitted.

The Economic operator who is established in a member – state of the European Union shall submit a certificate, issued by the respective professional or commercial registry as referred to in Annex XI of Appendix A, Law 4412/2016, certifying both his registration and his specific profession.

In case a country does not keep such a registry, the document or certificate can be replaced by an affidavit or, in member-states or in countries where affidavit is not foreseen, by an official statement drafted by the interested party before an official judicial or administrative authority or notary public or the responsible professional/commercial Institution in the economic operator's country of origin/installation, that such registry is not kept and that the economic operator exercises the activity required for the execution of the scope of the contract to be awarded.

The Economic operator who is established in Greece must submit a valid certificate concerning the registration of the company in the relevant Commercial and Industrial Chamber.

	<p align="center"><b>PROJECT: “PROCUREMENT, INSTALLATION AND COMMISSIONING OF THE SIGNALLING &amp; AUTOMATIC TRAIN CONTROL (ATC) SYSTEM AND OF THE AUTOMATIC TRAIN SUPERVISION (ATS) SYSTEM IN THE THESSALONIKI METRO EXTENSION TO KALAMARIA”</b></p> <p align="center"><b>INVITATION TO TENDER</b></p>	<p align="center"><b>RFP-335/18</b></p> <p align="center"><b>A.Σ. 59046</b></p>
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#### 21.3.2 Documentation proving the Financial Competence

In order to prove his Financial Competence the following must be submitted, namely:

- Legal statement certifying the overall turnover during the three (3) previous fiscal years, or during the respective time period of operation, if it is less than three (3) years,
- Balance Sheets and Profit and Loss Accounts Statements during the last three (3) fiscal years, or during the respective time period of operation, if it is less than three (3) years.

#### 21.3.3 Documentation proving the Technical Competence

In view of proving his Technical Competence, the following must be submitted, namely:


- A list of completed procurements that have been delivered during the period 2008 - 2018, in accordance with the requirements of article 13.3 herein.

In case the Supply is executed by a contracting consortium, the Bidder's specific scope of the participation in the Consortium shall be mentioned.

- Relevant certificates, which shall have been issued by the Project Owners. The aforementioned certificates must demonstrate the workmanlike and timely execution of the supply, as well as the commissioning satisfying, this way, the contract requirements of the projects' Owners.

The aforesaid certificates shall make clear reference to the following:

- a. The scope of the works;
- b. The time for the signing, acceptance of the building automation and control system (BACS) and completion of the Contract.
- c. The good and prompt execution of the supply and the commissioning, fulfilling the contractual requirements of the Project Owners.

	<p><b>PROJECT: “PROCUREMENT, INSTALLATION AND COMMISSIONING OF THE SIGNALLING &amp; AUTOMATIC TRAIN CONTROL (ATC) SYSTEM AND OF THE AUTOMATIC TRAIN SUPERVISION (ATS) SYSTEM IN THE THESSALONIKI METRO EXTENSION TO KALAMARIA”</b></p> <p><b>INVITATION TO TENDER</b></p>	<p><b>RFP-335/18</b></p> <p><b>A.Σ. 59046</b></p>
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In case some of the requested data are not included in the above certificates, they shall be provided by means of a Legal Statement made by the Bidder, which shall accompany the certificates.

However, the Legal Statement cannot replace the necessary certificates related to the execution of the Supplies. This Legal Statement is exclusively and solely submitted to supplement any data not contained in the certificates.

In case the Supply is executed by a contracting consortium, the Bidder's specific scope of the participation in the Consortium shall be mentioned.


The certificates must be submitted for each one of the contracting companies forming the Consortium.

**In case of an association, the requested experience can be covered cumulatively by the Companies/operators forming the Association.**

Any supply related projects, for which neither certificates nor the relevant Legal Statement for any supplementary data are submitted, shall not be taken into account.

**21.4** If **Bidders invoke and utilize the financial and credit capacity or the technical and professional capacity of other operators**, as per article 307 of Law 4412/16, then the third parties shall submit the back-up documentation stipulated in para. 21.1, the back-up documentation (concerning the invocation of third party resources) specified in article 13 and BoD's resolution or the decision of the agency's statutory instrument, approving the granting of the operator's financial, credit capacity or technical and professional capacity (experience) to the Bidder, so that this capacity is available to the Bidder throughout the execution of the Contract. Additionally, the Bidder must also submit the pertinent decision for the acceptance of the said invocation.

**21.5** In case of awarding the contract – under the form of **sub-contracting work to third parties**, the “provisional contractor” should submit all information concerning the Subcontractors that he proposes, as well as indicate the section of the contract to be awarded. Moreover, as far as Subcontractors are concerned, it is required to submit the supporting documents stipulated in article 21.1, the Resolution of the

	<p align="center"><b>PROJECT: “PROCUREMENT, INSTALLATION AND COMMISSIONING OF THE SIGNALLING &amp; AUTOMATIC TRAIN CONTROL (ATC) SYSTEM AND OF THE AUTOMATIC TRAIN SUPERVISION (ATS) SYSTEM IN THE THESSALONIKI METRO EXTENSION TO KALAMARIA”</b></p> <p align="center"><b>INVITATION TO TENDER</b></p>	<p align="center"><b>RFP-335/18</b></p> <p align="center"><b>A.Σ. 59046</b></p>
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BoD or the decision of the competent statutory instrument of the economic operator concerned, whereby approval will be granted for the award of the sub-contracting work and the respective resolution on the part of the sub-contractor on accepting the award of the sub-contracting work.


#### **21.6 Clarifications on the submission of the back-up documentation detailed above**

All documentation, certificates, documents, etc., to be submitted by the Bidders, shall be original or plain legible photocopies of public documents. As regards the certifications of photocopies, valid shall be the stipulations of article 1, Law 4250/14, about the abolishment of the requirement for certifying documents' photocopies.

In the required tender documents, at the points where reference is made to the submission of a legal statement, such statement is meant to be, on the one hand as far as Greek companies are concerned, the “Legal Statement of Law 1599/86” and on the other hand as far as foreign businesses are concerned the “Statement on a document with an equivalent validity”. As such is meant an affidavit or, in case an affidavit is not foreseen, an official statement before a Judicial or Administrative Authority, Public Notary or the competent Professional Organization of the Bidder's country of origin or domicile.

It is not required for the legal statements to bear a signature authentication and they must be dated within the last thirty (30) calendar day-period prior to their submission.

AM reserves its right to request any other document deemed necessary upon completion of the study of legalizing and other documents and data to be submitted prior to the signing of the Contract. Moreover, if there are any doubts, AM is entitled to address itself directly to the competent authorities to obtain any information on the personal status of the “provisional Contractor”. In case the information concerns a “provisional Contractor” established in a State other than that of the contracting authority, then the awarding authority may seek the cooperation of the competent authorities. Having regard for the national laws of the Member State where the Bidders are established, such requests shall relate to legal and/or natural persons, including, if appropriate, company directors and any person having powers of representation, decision or control.

	<p><b>PROJECT: “PROCUREMENT, INSTALLATION AND COMMISSIONING OF THE SIGNALLING &amp; AUTOMATIC TRAIN CONTROL (ATC) SYSTEM AND OF THE AUTOMATIC TRAIN SUPERVISION (ATS) SYSTEM IN THE THESSALONIKI METRO EXTENSION TO KALAMARIA”</b></p> <p><b>INVITATION TO TENDER</b></p>	<p><b>RFP-335/18</b></p> <p><b>A.Σ. 59046</b></p>
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In case of an association, the requirement for submission of the aforementioned documents, data, certificates and official statements shall be valid for each member separately.

## ARTICLE 22 EXECUTION OF THE CONTRACT

Upon the occurrence of the legal effects of the award resolution and the positive opinion of the State’s Council, in line with article 17.3.2 herein and paragraph 4, article 105 of Law 4412/16, the awarding resolution shall be communicated to the Contractor, who is called upon to to present himself to sign the contract within a deadline of **twenty (20) days** upon communication of the relevant special invitation to him.


The contract shall be signed - on the part of the Contractor - by his authorized representative who shall initial and stamp each page of the Contract Documents. Contracts to be concluded with Joint Ventures/Consortia shall be signed by the Legal Common Representative on condition that he is legally authorized to this end.

At the Contract signing stage, the selected Contractor ought to submit the following:

### 22.1

In case the “provisional contractor” is a **Joint Venture**, then five (5) calendar days prior to the signing of the Contract he shall submit a Notary Act for the establishment of the Joint Venture; the following shall necessarily be included therein, namely:

1. The Joint Venture’s acceptance to jointly participate in the execution of the Contract.
2. The participation percentage of each member in the joint venture.
3. The appointment of the member-companies with the highest percentage in the Joint Venture as Leader, who shall have the irrevocable order and authorization of the remaining member-companies to represent the joint venture and commit its members before AM throughout the duration of the Contract, and, in general, to act and state what is necessary for the implementation of the Contract. The natural entity to be appointed as the common representative of the Joint Venture before AM shall derive from this member (Leader) of the Joint Venture.

	<p><b>PROJECT: “PROCUREMENT, INSTALLATION AND COMMISSIONING OF THE SIGNALLING &amp; AUTOMATIC TRAIN CONTROL (ATC) SYSTEM AND OF THE AUTOMATIC TRAIN SUPERVISION (ATS) SYSTEM IN THE THESSALONIKI METRO EXTENSION TO KALAMARIA”</b></p> <p><b>INVITATION TO TENDER</b></p>	<p><b>RFP-335/18</b></p> <p><b>A.Σ. 59046</b></p>
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4. The members shall be solidly and wholly responsible before AM and the Law with regard to the obligations ensuing from the Contract.

The Notary Act for the Establishment of the Joint Venture shall be accompanied by Statements of the Representative and his Alternate, whereby they accept their appointment. The appointment of the Representative and his Alternate, as well as the statements of acceptance must be unconditional and cover all issues concerning the execution of the Contract (e.g. payments, accounts, taking delivery of instructions, exchange of correspondence, taking delivery of Letters of Guarantee, etc.).


- 22.2** If the “provisional contractor” is a **Company**, he shall also appoint – through a notary document – his representative for the execution of the Contract. Statement of the Representative, whereby he accepts his appointment, shall accompany the Notary Act for the Appointment.

The appointment of the Representative, as well as the statement of acceptance must be unconditional and cover all issues concerning the execution of the Contract (e.g. payments, accounts, taking delivery of instructions, exchange of correspondence, taking delivery of Letters of Guarantee, etc.).

- 22.3** Five (5) calendar days prior to the signing of the Contract, the Contractor should submit a **Certificate by the Insurance Company (-ies)** about the insurance coverage of the Contract, in line with the stipulations of article 27 of the Conditions of Contract.

The original insurance policies shall be submitted by the Contractor, within a 15-calendar day deadline as of the Contract signing, along with the receipt for the payment of the first premium instalment. In case the Contractor does not comply with the above, the stipulations of article 27 of the Conditions of Contract are in effect.

- 22.4** Subsequently and prior to the signing of the Contract, the **legal statement** of the Joint Ministerial Decision made by the Ministers of Development and of State No. 20977/23.08.2007 (B' 1673) “*Back-up Documentation intended for Registries -f Law 3310/2005, as amended via Law 3314/2005*” will be submitted.

	<p align="center"><b>PROJECT: “PROCUREMENT, INSTALLATION AND COMMISSIONING OF THE SIGNALLING &amp; AUTOMATIC TRAIN CONTROL (ATC) SYSTEM AND OF THE AUTOMATIC TRAIN SUPERVISION (ATS) SYSTEM IN THE THESSALONIKI METRO EXTENSION TO KALAMARIA”</b></p> <p align="center"><b>INVITATION TO TENDER</b></p>	<p align="center"><b>RFP-335/18</b></p> <p align="center"><b>A.Σ. 59046</b></p>
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**22.5** Five (5) days **prior** to the signing of the Contract, the Contractor should provide a draft **Good Performance Letter of Guarantee**, as per sample A attached to Conditions of Contract and the provisions of article 10.3 of the CC. The Contractor, upon signing the Contract, shall submit the original Good Performance Letter of Guarantee.

**22.6** Should the deadline for the signing of the contract elapses idle on the appointed Contractor's liability, who, despite having been called, does not show up for the signing of the Contract or does not submit the required guarantees, or fails to comply with his obligations as stipulated in this document, then the Contractor is declared forfeited without any requirement for the prior communication to him of a special order and the Letter of Guarantee for Participating in the Tender becomes payable in favor of AM. In this case, AM's Board of Directors can nominate as the Contractor the Bidder whose offer follows in the classification drawn by the Tender Committee. If no one of the bidders comes to sign the agreement, then the awarding procedure shall be annulled. AM reserves the right not to award the Tender and to annul it (in line with article 20 of this Invitation), without this constituting any kind of obligation for any compensation to any interested party.


## **ARTICLE 23 LANGUAGE FOR THE CONDUCT OF THE TENDER - LANGUAGE OF THE CONTRACT**

The documents of the Tender have been compiled in the Greek language and have been translated into English for facilitating the Interested Parties. In case of discrepancies, prevailing shall be the Greek version of the documents.

Any objections or preliminary judicial appeals shall be submitted in Greek.

The offers and all information contained therein shall be compiled in Greek or be accompanied by their official translation in Greek.

All public documents concerning foreign Companies and which shall be submitted by the bidders during the conduct of the Tender shall be legible photocopies of those legally ratified either by the Consular authorities in the country of the bidder, or by affixing the “Apostille” stamp as per the Hague Convention dated 05.10.61 (ratified by Law 1497/84) as a proof of their authenticity. These documents can be

	<p><b>PROJECT: “PROCUREMENT, INSTALLATION AND COMMISSIONING OF THE SIGNALLING &amp; AUTOMATIC TRAIN CONTROL (ATC) SYSTEM AND OF THE AUTOMATIC TRAIN SUPERVISION (ATS) SYSTEM IN THE THESSALONIKI METRO EXTENSION TO KALAMARIA”</b></p> <p><b>INVITATION TO TENDER</b></p>	<p><b>RFP-335/18</b></p> <p><b>A.Σ. 59046</b></p>
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translated either by the Translation Department of the Greek Ministry of Foreign Affairs or by the appropriate Consular Authorities, or by an attorney, as per article 454 of the Code for Civil Procedure and article 53 of the Code of Attorneys or by a chartered translator of the country of the bidder, if such a procedure exists in this country.

Foreign private documents can be accompanied by their translation in Greek authenticated either by the person in charge, in line with the provisions of the national legislation or by a person in charge of the country in which the subject documents have been compiled.

The Contract shall be compiled in the Greek language. All written communication between AM and the Contractor shall be conducted in the Greek language.

Exceptionally, any information technical leaflets for materials or equipment can be submitted in English and shall be translated by the Bidder in Greek, if so requested by AM.

#### **ARTICLE 24 APPLICABLE LEGISLATION**


The Contract to be signed, and any claims by both parties deriving due to this contract or on the occasion of this contract from the date when it is assigned to the Contractor, shall be governed by the terms of the contractual documents, the Greek Legislation, Law 4412/16, and by the Greek Civil Code. The Courts of Athens are the only competent authorities.

Prior to the signing of the Supply Contract, the relations with AM are regulated on the basis of Directive 2014/25/EU, Law 4412/16, the Greek Civil Code and the Tender Documents.


#### **ARTICLE 25 PUBLICITY**

The Invitation to Tender has been transmitted for publication in the Publications Office of the European Union on **14/06/2018**.

In addition, the Invitation to Tender is registered in KIMDIS, while the summary of the Invitation is posted in Diavgeia and in AM's web page ([www.ametro.gr](http://www.ametro.gr)).

	<p><b>PROJECT: “PROCUREMENT, INSTALLATION AND COMMISSIONING OF THE SIGNALLING &amp; AUTOMATIC TRAIN CONTROL (ATC) SYSTEM AND OF THE AUTOMATIC TRAIN SUPERVISION (ATS) SYSTEM IN THE THESSALONIKI METRO EXTENSION TO KALAMARIA”</b></p> <p><b>INVITATION TO TENDER</b></p>	<p><b>RFP-335/18</b></p> <p><b>A.Σ. 59046</b></p>
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The Invitation document was registered in KIMDIS and all documents of the subject Tender are registered in the web portal of E.S.I.D.I.S and in AM web page ([www.ametro.gr](http://www.ametro.gr)).

	<p><b>PROJECT: “PROCUREMENT, INSTALLATION AND COMMISSIONING OF THE SIGNALLING &amp; AUTOMATIC TRAIN CONTROL (ATC) SYSTEM AND OF THE AUTOMATIC TRAIN SUPERVISION (ATS) SYSTEM IN THE THESSALONIKI METRO EXTENSION TO KALAMARIA”</b></p> <p><b>INVITATION TO TENDER</b></p>	<p><b>RFP-335/18</b></p> <p><b>A.Σ. 59046</b></p>
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## ΥΠΟΔΕΙΓΜΑ Α1

### ΕΓΓΥΗΤΙΚΗ ΕΠΙΣΤΟΛΗ ΣΥΜΜΕΤΟΧΗΣ

Εκδότης (Πλήρης επωνυμία Πιστωτικού Ιδρύματος)

...../

T.M.E.Δ.Ε.

Ημερομηνία έκδοσης: .....

Προς: (Πλήρης επωνυμία Αναθέτοντος Φορέα<sup>1</sup>)

.....

.....

(Διεύθυνση Αναθέτοντος Φορέα<sup>2</sup>)

.....

.....

Εγγύηση μας υπ' αριθμ. .... ποσού

..... ευρώ<sup>3</sup>.

Έχουμε την τιμή να σας γνωρίσουμε ότι εγγυόμαστε με την παρούσα επιστολή ανέκκλητα και ανεπιφύλακτα παραιτούμενοι του δικαιώματος της διαιρέσεως και διζήσεως μέχρι του ποσού των ευρώ .....<sup>4</sup> υπέρ του:

(i) [σε περίπτωση φυσικού προσώπου]: (ονοματεπώνυμο, πατρώνυμο)  
....., ΑΦΜ: ..... (διεύθυνση)  
....., ή


(ii) [σε περίπτωση νομικού προσώπου]: (πλήρη επωνυμία)  
....., ΑΦΜ: .....  
(διεύθυνση) ....., ή

<sup>1</sup> Όπως ορίζεται στα έγγραφα της σύμβασης.

<sup>2</sup> Όπως ορίζεται στα έγγραφα της σύμβασης.

<sup>3</sup> Το ύψος της εγγυητικής επιστολής συμμετοχής καθορίζεται στα έγγραφα της σύμβασης σε συγκεκριμένο χρηματικό ποσό αναγράφεται ολογράφως και σε παρένθεση αριθμητικώς.

<sup>4</sup> Όπως υποσημείωση 3.

	<p align="center"><b>PROJECT: “PROCUREMENT, INSTALLATION AND COMMISSIONING OF THE SIGNALLING &amp; AUTOMATIC TRAIN CONTROL (ATC) SYSTEM AND OF THE AUTOMATIC TRAIN SUPERVISION (ATS) SYSTEM IN THE THESSALONIKI METRO EXTENSION TO KALAMARIA”</b></p> <p align="center"><b>INVITATION TO TENDER</b></p>	<p align="center"><b>RFP-335/18</b></p> <p align="center"><b>A.Σ. 59046</b></p>
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(iii) [σε περίπτωση ένωσης ή κοινοπραξίας:] των φυσικών/νομικών προσώπων

α) (πλήρη επωνυμία) ....., ΑΦΜ: ..... (διεύθυνση)  
.....

β) (πλήρη επωνυμία) ....., ΑΦΜ: ..... (διεύθυνση)  
.....

γ) (πλήρη επωνυμία) ....., ΑΦΜ: ..... (διεύθυνση)  
.....

(συμπληρώνεται με όλα τα μέλη της ένωσης / κοινοπραξίας)

ατομικά και για κάθε μία από αυτές και ως αλληλέγγυα και εις ολόκληρο υπόχρεων μεταξύ τους, εκ της ιδιότητάς τους ως μελών της ένωσης ή κοινοπραξίας, για τη συμμετοχή του/της/τους σύμφωνα με την (αριθμό) ..... Διακήρυξη της ΑΤΤΙΚΟ ΜΕΤΡΟ Α.Ε. για την ανάδειξη αναδόχου για την ανάθεση της σύμβασης: “(τίτλος σύμβασης) ..... ”.

Η καταληκτική ημερομηνία υποβολής προσφορών είναι .....

Η παρούσα εγγύηση καλύπτει μόνο τις από τη συμμετοχή στην ανωτέρω απορρέουσες υποχρεώσεις του/της (υπέρ ου η εγγύηση) καθ’ όλο τον χρόνο ισχύος της.

Το παραπάνω ποσό τηρείται στη διάθεσή σας και θα καταβληθεί ολικά ή μερικά χωρίς καμία από μέρους μας αντίρρηση, αμφισβήτηση ή ένσταση και χωρίς να ερευνηθεί το βάσιμο ή μη της απαίτησής σας μέσα σε **πέντε (5) ημέρες** από την απλή έγγραφη ειδοποίησή σας.


Η παρούσα ισχύει μέχρι και την .....

Σε περίπτωση κατάπτωσης της εγγύησης, το ποσό της κατάπτωσης υπόκειται στο εκάστοτε ισχύον πάγιο τέλος χαρτοσήμου.

Βεβαιώνουμε υπεύθυνα ότι το ποσό των εγγυητικών επιστολών που έχουν δοθεί, συνυπολογίζοντας και το ποσό της παρούσας, δεν υπερβαίνει το όριο των εγγυήσεων που έχουμε το δικαίωμα να εκδίδουμε.

Η Εγγυητική Επιστολή θα είναι εισπρακτέα και πληρωτέα στην Ελλάδα και οποιαδήποτε διαφορά επ’ αυτής θα υπόκειται στην αποκλειστική δικαιοδοσία των αρμόδιων Ελληνικών Δικαστηρίων της Αθήνας κατά το ελληνικό δίκαιο.

(Εξουσιοδοτημένη Υπογραφή)

	<p align="center"><b>PROJECT: “PROCUREMENT, INSTALLATION AND COMMISSIONING OF THE SIGNALLING &amp; AUTOMATIC TRAIN CONTROL (ATC) SYSTEM AND OF THE AUTOMATIC TRAIN SUPERVISION (ATS) SYSTEM IN THE THESSALONIKI METRO EXTENSION TO KALAMARIA”</b></p> <p align="center"><b>INVITATION TO TENDER</b></p>	<p align="center"><b>RFP-335/18</b></p> <p align="center"><b>A.Σ. 59046</b></p>
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## SAMPLE A2

### PARTICIPATION LETTER OF GUARANTEE

Issued by (Full name of the Credit Institution)

...../ TMEDE

Date of issuance:.....

To: (Full name of the Awarding Body <sup>1</sup> )

.....

(Address of the Awarding Body<sup>2</sup> )

.....

Our Guarantee no. .... against the amount of ..... euro<sup>3</sup>.

We hereby declare that we irrevocably and unreservedly guarantee waiving our rights to to make use of the benefit of division and discussion up to the amount of ..... euro <sup>4</sup> in favour of:

(i) [in case of a physical entity]: (full name, father's name) ....., Tax Payer's Number ..... (address) ....., or

(ii) [in case of a legal entity]: (full name) ....., Tax Payer's Number ..... (address) ....., or

(iii) [in case of a Joint Venture/ Consortium]: of physical/ legal entities

a) (full name) ....., Tax Payer's Number ..... (address) .....,

b) (full name) ....., Tax Payer's Number ..... (address) .....,

c) (full name) ....., Tax Payer's Number ..... (address) .....,

(fill in all members of the Joint Venture/ Consortium)


individually and for each one of the above and jointly and severally liable in their capacity as members of the Joint Venture/ Consortium for its/their participation, in accordance with ATTIKO METRO S.A. Invitation to Tender ..... (number)

<sup>1</sup> As specified in the Contract documents

<sup>2</sup> As specified in the Contract documents

<sup>3</sup> The amount of the Letter of Guarantee for participation in the tender is set in the contractual documents against a specific amount, it is written in full and in numbers in brackets.

<sup>4</sup> See footnote 3

	<p><b>PROJECT: “PROCUREMENT, INSTALLATION AND COMMISSIONING OF THE SIGNALLING &amp; AUTOMATIC TRAIN CONTROL (ATC) SYSTEM AND OF THE AUTOMATIC TRAIN SUPERVISION (ATS) SYSTEM IN THE THESSALONIKI METRO EXTENSION TO KALAMARIA”</b></p> <p><b>INVITATION TO TENDER</b></p>	<p><b>RFP-335/18</b></p> <p><b>A.Σ. 59046</b></p>
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for the appointment of a Contractor for the award of a Contract “(title of Contract).....”.

The deadline for the submission of offers shall be .....

This guarantee covers only the obligations of the entity (-ies) *(in favour of whom this guarantee is issued)*, as these ensue from the participation to the above tender procedure throughout its validity period.

The aforementioned amount remains at your disposal and shall be paid in full or in instalments. Payment shall be made without disagreement, dispute or objection of any kind on our part, without examining the validity or non validity of your claim, within five (5) days upon receipt of your written notification.

The present guarantee shall remain valid until .....

If this guarantee becomes payable, the relevant amount shall be subject to the applicable stamp duty.

We hereby certify that the amount of the Letters of Guarantee already provided, including also the amount of the present guarantee, does not exceed the threshold of the Letters of Guarantee that we have the right to issue.

The Letter of Guarantee shall be collectable and payable in Greece. Any dispute to be raised concerning this Letter of Guarantee shall be subject to the exclusive jurisdiction of the competent Courts in Athens, Greece and the Greek legislation.

(Authorized Signature)